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Mentor Handbook Prepared by:

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The Mentoring Jail Leaders (MJL) Program is designed to develop the next generation of jail leaders. As an experienced leader, you have extensive expertise to share with those who are now advancing through the organizational ranks. In fact, there is not a more lasting legacy than bequeathing your knowledge, insights, and capabilities to those who will succeed you. This year-long MJL program is designed to enable you to do exactly that. As such, its goals are to:

- Link those who are committed to traveling the road to career success (such as graduates of the National Jail Leadership Command Academy or NJLCA) with established, well-qualified mentors who are committed to help them continue their growth and development.
- Provide jails with future leaders who have experience with contemporary leadership principles and practices.
- Operationalize the jail leadership core competencies identified by the field as critical for success.
- Establish a network of support for upcoming jail leaders.
- Improve the performance of mentees, as well as increasing the job satisfaction of experienced jail administrators as they apply their expertise to mentoring emerging leaders.

This program is designed to meet two needs.

- 1. Participants of the NJLCA (*www.nationaljailacademy.org*) at their request are linked to a mentor. This part of the NJLCA experience is managed by the American Jail Association (AJA).
- 2. This manual is designed to help jails establish their own agency mentoring program when their employees *do not* attend the NJLCA.

As a result of this dual purpose, there are references to both components in this guide.

The remainder of this handbook describes the one-year MJL program in greater detail identifying the mentor's role, outlining mutual benefits, describing the mentor's responsibilities, and providing the guidelines for fulfilling those responsibilities.

Definitions

The MJL Program involves three primary participants: the mentor, the mentee, and the program coordinator from the AJA or in the case of an agency-developed program—that organization's coordinator. (*Note:* AJA staff serve as program coordinator only if the mentor/mentee relationship is affiliated with the NJLCA. While the MJL Program can be adapted for use by any jail system, local arrangements would need to be made for program coordination within the organization).

• **Mentor**: an experienced jail employee who has emerged as a leader in their organization and is committed to developing the next generation of leaders

through informal methods of sharing job knowledge, advice, skills, and encouragement through a professional one-on-one relationship with a mentee.

- **Mentee**: an emerging jail leader who is interested in improving leadership skills by establishing a professional one-on-one relationship with a more experienced leader in order to achieve clearly defined and mutually-agreed upon goals.
- **Program Coordinator**: an individual or committee who organizes and oversees the mentor/mentee program including the application and matching processes, provides orientation materials, collects and documents feedback from the program participants, provides certificates and/or letters of certifying program completion, etc. (For those participating through NJLCA, the coordinator can be reached at <u>MJLP@aja.org</u>.)

Although mentoring is a one-on-one relationship, it is not always face-to-face. When the partners are physically separated by distance, communication occurs primarily by telephone, e-mail, Skype®, or similar technology. In such long-distance mentoring, it is more challenging to form close relationships, so both parties need to be creative and work harder to form bonds.

Mutual Benefits

Mentoring is an informal relationship that is built on mutual trust, support, and collaboration. Mentoring does not formally "evaluate" a person's performance, but is intended to guide, nurture, and provide a sympathetic ear. By counseling, teaching, guiding, and helping another person to develop both personally and professionally, mentoring can:

- Retain high performers working in jails.
- Enhance skills and knowledge for the next career steps.
- Develop leadership talent and confidence.
- Establish a line of succession in a jail to ensure no loss of direction when leaders retire.
- Foster a collaborative environment.
- Ease transition into new assignments.
- Preserve institutional memory (when mentor and mentee are from the same agency).

For the mentor, the experience provides a vehicle for imparting the knowledge, skills, insights, and expertise that have accumulated over years of service. Moreover, as anyone who has ever volunteered for any endeavor knows, the personal benefits are often far greater to the giver than the recipient.

Responsibilities of a Mentor

As a mentor, you will build a relationship that is designed to empower your mentee, which includes:

- Encouraging the mentee to set and achieve his/her own goals.
- Fostering success while establishing a relationship that will mutually benefit both you and the mentee.
- Teaching and guiding the mentee toward becoming a confident, well-versed, and independent leader.

It is important to note that the mentoring relationship is different from the relationship between an employee and a supervisor. Unlike supervisors, mentors have no formal power or authority over a mentee. Theirs is a collaborative partnership, wherein the mentor:

- Listens to problems.
- Challenges when necessary.
- Shares knowledge.
- Explains processes.
- Offers counsel.
- Builds confidence.
- Offers encouragement.
- Teaches by example.
- Motivates and inspires.
- Provides guidance.
- Serves as a role model.
- Provides insights.
- Shares experiences.
- Helps to solve problems.
- · Provides a fresh perspective.
- Introduces the mentee to colleagues and resources outside their agency.

Essentially, the mentor is a partner and role model who offers encouragement, builds confidence, and guides the mentee in the right direction.

Guidelines for Mentoring

In order to provide a well-structured, professional experience, the MJL Program includes three primary steps:

- Establishing expectations—Asking both
 - What the program expects of you, the mentor, and
 - What you and your mentee expect of each other.
- Setting goals—Establishing both short- and long-term goals for what you plan to achieve with your mentee.

• Obtaining feedback—Giving feedback to your mentee, as well as accepting feedback from your mentee.

The mentoring process encourages the mentee to ask questions and seek information in a casual, informal and safe, non-judgmental environment. This constantly evolving process focuses on the goals of the individual and is ultimately designed to help a new jail leader adjust and grow into his/her position.

Mentoring partnerships established through AJA and the NJLCA pairs mentors/mentees from different agencies but of similarly sized jails. When mentors and mentees work in different organizations, confidentiality is required, and the mentee may be assured that the mentor has no direct influence over the mentee's job performance ratings. In the case of intra-organizational mentoring, extra care must be taken to provide these assurances.

MJL Program Components

The MJL program consists of five components: (1) Application, (2) Matching, (3) Formal Agreement, (4) Skill Building, and (5) Program Completion. (A summary of the mentor's participation is included in Appendix A, Mentor Checklist). If you are developing a program for a jail which did not have a NJLCA graduate, use and modify these components based on your jail's needs.

Application and Matching

In the application components, you submitted your application (See Appendix B, Mentor Application) and were accepted into the program. During the matching process, you were matched with a mentee. Your responsibilities in each remaining section are described as follows. (*Note:* If you are part of the NJLCA's mentoring initiative, all materials that you complete must be sent to the program coordinator at *MJLP@aja.org* or mailed to: MJL Program Coordinator, American Jail Association, 1135 Professional Court, Hagerstown, MD 21740–5853).

Formal Agreement

The Mentor-Mentee Agreement in Appendix C (also see *www.leadingjails.com*) guides the process for both parties and outlines conditions of the program, describes mutual objectives, and provides measures of your mentee's success. The agreement should be specific to your mentee's goals and objectives, and the document developed must be the foundation for moving forward with your mentor/mentee relationship.

During the application process (See Appendix D, Mentee Application), your mentee identified five core competency areas in which he/she wanted to improve. During your first meeting/conversation, these should be discussed. As part of this process, you need to provide details related to your areas of expertise and suggest ways in which this

expertise can be shared. Together you identify your mentoring objectives and develop a plan for meeting those objectives. Because mentoring can occur in many different ways, what is suggested here is only one possibility. To begin building the mentoring relationship, choose the approach with which you are most comfortable. When a course of action is agreed on, complete and sign the Mentor-Mentee Agreement. This agreement is a "living document," If any significant changes in the relationship occur, the agreement must be modified to reflect the changes, then initialed and dated. If at any time it is determined that the pairing is not mutually beneficial, the mentor should voice such concerns to the program coordinator.

Skill Building

The steps involved in skill building include mentor training, mentee training, and building upon core competencies. The mentors and mentees may wish to discuss any of the training materials during their first session, or later as the need arises. Additionally, it is helpful for the mentor to review some of the materials contained in the bibliography at the end of this handbook. Additional materials are provided on <u>www.leadingiails.com</u>.

Your mentee will be working toward mastery of the individual core competencies throughout this one-year program. During the first meeting/conversation, you complete the Mentor-Mentee Agreement and arrange the next meeting. If time permits in the first meeting, you and your mentee begin working on the first objective that is listed in the agreement. The number of meetings devoted to each core competency depends on the skill level and amount of time spent on each objective. In subsequent meetings and conversations, you and your mentee will carefully review what the mentee has accomplished and decide if sufficient mastery was obtained. A decision is made whether further work is necessary or whether he/she should progress to the next objective. This cycle will be repeated throughout the mentoring process.

Part of the mentor's responsibility is to ensure that the mutually agreed plans, goals, and objectives are accomplished. At least quarterly, compare your mentee's progress with the Mentor-Mentee Agreement. If there are discrepancies between what is being accomplished and what was agreed to, you and your mentee need to discuss the areas that are not being addressed and develop a resolution.

It is also important to determine whether or not your mentee believes the goals and outlined objectives have been met for that period. If not, the mentee should offer insight as to why (e.g., availability of the mentor, personal time constraints, etc.). This information helps to adjust the goals and objectives to be more realistic and attainable. After the Mentor-Mentee Agreement is updated with an indication of goal achievement, a copy of necessary revisions is sent to the program coordinator.

Any time the mentor believes the mentoring relationship is not beneficial, he/she may notify the program coordinator, explaining the reason why the relationship should end. It is at the discretion of the program coordinator to either reassign the mentor and mentee or release them from the program.

Program Completion

At the end of the program, the Mentor Post Program Survey (see <u>www.leadingjails.com</u> or Appendix E) is submitted to the program coordinator. This is an opportunity to offer feedback to improve the program.

Mentor Post-Program Survey. Asking both mentees and mentors about their experience in this program is invaluable to updating, refining, and growing the mentoring program. Appendix E provides the Mentor Post-Program Survey to be used by the NJLCA participants. If a jail is designing their program, the post-program survey may be amended to accommodate that organization's program. The coordinator is responsible for assessing the remarks and improving the program based on the perspectives of both the mentee and the mentor.

For those participating in the program through NJLCA and AJA, both the mentor and mentee receive a certificate when all program requirements are completed at the end of one year. Upon request to the program coordinator, a letter of successful participation in the program is also sent to their employing organization.

Multiple Year Participation. At the end of the year-long term of mentoring, you may continue your participation in the program by submitting an updated application to the program coordinator for consideration. (See <u>www.leadingjails.com</u>.) You are then be matched with a new mentee for a second year.

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Appendix A—Mentor's Checklist

Mentor's Checklist		
Completed	Component	Description
	Application	Complete and submit application. (See Appendix B or <u>www.leadingjails.com</u> .)
	Matching	Matched with mentee.
	Formal Agreement	Read Mentor Handbook. (See <u>www.leadingjails.com</u> .)
	Formal Agreement	Work with the mentee to draft the Mentor-Mentee Agreement specific to his/her goals and objectives. (See Appendix C or <u>www.leadingjails.com</u> .)
	Skill Building 1	Meet per the agreed terms in the Mentor-Mentee Agreement.
	Skill Building 2	Work on any tasks needed for preparation for the next meeting.
	Skill Building 3	In the next scheduled meeting, discuss the mentee's progress towards the objective. If the objective needs work, return to Skill Building step 2.
	Skill Building 1–3	Skill Building 1–3 is a repetitive process. Each time a goal or objective is reached in Skill Building 3, the mentoring team establishes a new objective and follows the same steps to complete it.
	Skill Building	Review progress quarterly to determine if the mutually agreed plans, goals, and objectives in the Mentor-Mentee Agreement are accomplished. Meet with mentee to resolve any issues.
	Program Completion	Complete the Mentor Post-Program Survey. (See Appendix E or <u>www.leadingjails.com</u> .)
	Program Completion	Receive certificate.

Appendix B—Mentor Application

Mentor Application

What is the Mentoring Jail Leaders Program?

The purpose of the Mentoring Jail Leaders (MJL) Program is to develop excellence in current and future jail leaders. It is an extension and implementation of the skills developed in the National Jail Leadership Command Academy (NJLCA). Coaching and mentoring have become widely accepted techniques for extending such development beyond classroom training and on-the-job experience.

While this program has been specifically developed to support the NJLCA, the strategies can be used by jails wishing to implement a leadership development program. A copy of this mentoring program, which may be adapted for use any jail or jail system, is available in a standalone module at *www.leadingjails.com*.

To Be A Mentor...

To participate as a mentor in the MJL Program, you must be a NJCLA graduate.

For jails developing their own mentoring program, you are welcome to use or modify this application it for your organization's use.

The Process of Becoming a Mentor

For graduates of the NJLCA: Complete this application for acceptance. All materials including this application and any questions may be e-mailed to the program coordinator at: <u>MJLP@aja.org</u> or mailed to: MJL Program Coordinator, American Jails Association, 1135 Professional Court, Hagerstown, MD 21740–5853. Most mentors will be matched with a mentee during the NJLCA (or when one becomes available). After your mentee finishes NJLCA, you may continue in the program.

For jails developing their own mentoring program, use whatever information in this application is helpful to your process.

Orientation

For graduates of the NJLCA: You will obtain a Mentor Handbook via e-mail or online. (A copy of the Mentor Handbook and all other mentor materials are available at: *www.leadingjails.com*). You are expected to review and complete any material therein. After an initial conversation/meeting with your proposed mentee, complete the Mentor-Mentee Agreement. This is a working agreement between partners for the duration of the mentoring relationship. The program requires several meetings a month plus some

research or other preparation (an estimated 8 hours per month). The program is 1 year in length.

For jails developing their own mentoring program, the Mentor Handbook is available for your use and modification.

NJLCA Mentor Application

Please respond to the following. Print all information.			
Today's Date:			
Name:			
Preferred Contact Informa	tion:		
Phone No.		_()Work()Home	() Cell
E-mail:		()Work()Hom	e()Other
Employer/Affiliation:			
Current Title/Position:			
Work Address:			
Street Address	City	State	Postal Code
Jail's Average Daily Popul	ation:		
Small (<150) M	edium (150–999)	Large (more than 1,000)
Jail Type/Administration (r	nanaged by or as a):		
Elected Official (Sh	eriff)		
Regional Jail	Private Vendor		
Local/County Comr	nissions (Board of Co	rrections) State-Ru	ın
Tribal/Indian Natior	BOP		
Years working for your cu	rent employer:		
Previous Employer (if less	than 3 years at current	nt employer):	
Previous Title/Position:			
Previous Jail's Average Da			
· · · ·	· /	arge (more than1,000)	<u> </u>
Previous Jail Type/Admini	stration (managed by	or as a):	

Elected Official (Sheriff) ____

Regional Jail____ Private Vendor ____

Local/County Commissions (Board of Corrections) ____ State-Run____

Tribal/Indian Nation____ BOP____

Years working for this employer: _____

Questions for Prospective Mentors:

Your responses to these questions are used to help match you with a mentee.

 Meetings/Conversations: While face-to-face meetings are ideal, we anticipate these conversations to be held over the telephone or other forms of electronic communication. You and your mentee decide the best way to communicate. We anticipate that you will meet twice a month plus do research or other preparatory work. The total time commitment is approximately 8 hours a month. Are you willing to meet this time commitment?

Yes ____ No ____ If you find you cannot devote 8 hours a month, please reconsider submitting your application as a mentor.

- 2. Highest Educational Degree Obtained:
- 3. Professional Certifications (e.g., CJM, etc.):
- 4. Professional Associations (e.g., AJA, etc.):
- 5. The 22 core competencies of a jail leader follow this chart. (Also see *www.leadingjails.com*).

Please identify the five greatest strengths that you bring to this program based on the core competencies. Provide the information as requested. See the attached example.

#	Core Competency	The strengths I bring to a mentee are:
example 9.	Engage in strategic planning	 I was the chair of the strategic planning committee in my agency when we developed the current plan. I completed a master's level course in strategic planning. I implemented various sections of my agency's strategic plan in my role as lieutenant of a shift.

#	Core Competency	The strengths I bring to a mentee are:

#	Core Competency	The strengths I bring to a mentee are:

Core Competencies of Jail Leaders

The 22 core competencies of jail leaders are listed in alphabetical order followed by a description of the core competency and the rationale for that core competency.

1. Anticipate, analyze, and resolve organizational challenges and conflicts. *Description*

Use critical thinking skills, evidence-based practices, and information analysis to inform decision-making and address organizational problems; proactively identify pending crises or opportunities.

Rationale

Jail leaders must be able to not only chart their organization's future course, but also to navigate the present. Dealing with current organizational challenges must be predicated on a sound knowledge of the past and a clear vision of the future, including strategies for preventing organizational conflicts.

2. Assure organizational accountability.

Description

Direct the process for collecting ongoing feedback and assessing long-term outcomes; model self-accountability through words and actions.

Rationale

Jail leaders are ultimately accountable to the public, the inmate population, and those both above and below them in the chain of command. This includes identifying, collecting, analyzing, and disseminating which data and information are needed to assure accountability in a transparent method that provides a "report card" for stakeholders.

3. Build and maintain positive relationships with external stakeholders. *Description*

Develop reciprocally-beneficial external partnerships to achieve shared goals.

Rationale

Since jails are impacted by other criminal justice agencies, local and state policymakers, and community organizations, they cannot operate effectively without developing working relationships with such groups. Establishing linkages with these stakeholders not only assists with accomplishing the jail's mission, but also with managing related external forces over which jails have no direct control. Identifying and building such relationships is a two-way process for the mutual benefit of everyone involved.

4. Build and maintain teamwork; mentor and coach others.

Description

Work with staff to strengthen the team and members of it; lead by example; go beyond the expected to assure the success of all involved.

Rationale

Creating and nurturing a successful team takes thoughtful planning, time, energy, and ongoing attention. This includes building and maintaining teamwork through both formal and informal strategies, such as mentoring, role modeling, and other approaches to uniting the talents of a diversified workforce. Great teams are not accidental, but rather, are the product of great leadership.

5. Communicate effectively, internally, and externally. *Description*

Engage in written and oral communication that is clear, unambiguous, transparent, and consistent with the organizational vision, mission, and values.

Rationale

This competency is actually a fundamental component of each of the other twenty-one competencies. It includes effectively using all available means of communication (e.g., oral, written, electronic) to manage people, make decisions, and collect and disseminate information in a way that is appropriate, unambiguous, and mutually beneficial.

6. Comprehend, obtain, and manage fiscal resources. *Description*

Understand the larger financial picture, as well as obtain the fiscal resources needed to achieve organizational goals, allocating resources in a manner that supports the organizational vision, mission, and values.

Rationale

Not only must jail leaders comprehend specifics of the budgeting process, but they must also be able to assure that the jail has sufficient financial resources to fulfill its mission by obtaining stakeholder support. This includes not only assessing what resources are needed, but also managing them in a costeffective manner by effectively prioritizing, eliminating waste and duplication, identifying alternatives to supplement the budget, and making reductions as necessary.

7. Develop and maintain a positive organizational culture *that promotes respect for diverse staff.*

Description

Assess the agency's internal culture, assure that it is aligned with the mission, vision, and values; work to change culture as necessary; support culture that moves toward identified organizational goals.

Rationale

Managing a diverse workforce, (and inmate population), requires considerable effort to create "one out of many." This includes identifying, developing, and maintaining an organizational culture that values diversity, embraces change, promotes a positive approach, and is consistent with the organization's vision, mission, and values.

8. Develop and sustain organizational vision/mission.

Description

Establish a long-range purpose for the organization and provide overall direction and guidance for achieving its mission and measuring success.

Rationale

This competency establishes the basis for pursuing all others. It clarifies the essence of the organization's existence by creating, implementing and maintaining the jail's vision and mission through participatory consensus-building with staff and stakeholders.

9. Engage in strategic planning.

Description

Proactively analyze emerging trends, anticipate related organizational needs, and develop strategies for meeting them.

Rationale

Effective management and accountability requires long-range planning efforts and structured operations. This includes maintaining a comprehensive foundation of information, collecting and analyzing relevant data, and working with community stakeholders who are impacted by the jail.

10. Enhance self-awareness; maintain proactive professional commitment. *Description*

Identify personal strengths and weaknesses; make use of professional resources (e.g., technical assistance, research reports, professional organizations, peer reviews, networking, etc.).

Rationale

Before anyone can effectively lead others, he or she must have sufficient insight into their own strengths and weaknesses, especially in terms of how they potentially impact their staff. Leaders must be willing to take an objective and indepth look at themselves and be willing to change.

11. Establish organizational authority, roles, and responsibilities. *Description*

Assure that duties and responsibilities are properly distributed throughout the organization, along with the authority necessary to fulfill them, in order to promote smooth, efficient functioning within legal parameters.

Rationale

Jails do not run themselves. While the leader's job does not extend to day-to-day operational management, it is the leader's responsibility to develop an overall organizational structure that ensures effective functioning, complies with relevant laws, establishes clear lines of authority and accountability, and ultimately, promotes fulfillment of the jail's vision and mission.

12. Leverage the role of the jail in the criminal justice system. *Description:*

Maintain outcome-focused interaction with other components of the justice system to identify and resolve problems and advance the vision/mission of the jail.

Rationale:

Because jails are so profoundly affected by operations of other agencies throughout the criminal justice system, they cannot afford to engage in autonomous policy development or decision-making. Yet all too often, local jails operate as an island, trying to independently solve system-created problems. Local jail leaders need to take the initiative to establish system-wide collaboration. This calls for understanding the interdependent relationships between components of the justice system and developing working relationships that minimize the negative impact of other agencies' actions while maximizing creative synergy among them.

13. Make sound decisions.

Description

Establish a decision-making style that is firm, fair, and consistent, data-driven, and aligned with the organization's vision, mission, and values; appreciate the impact of decisions on those in and outside of the organization.

Rationale

Jail leaders are responsible for the decisions they make, as well as for the decisions made by their subordinates. This includes making decisions in a manner that ensures organizational accountability, as well as consistency with policies, procedures, and effective leadership practices. It likewise means making decisions within legal guidelines, in concert with the jail's vision/mission, and in accord with positive trends in jail management.

14. Manage change.

Description

Progressively implement change through strategies that encompass relevant stakeholders.

Rationale

Because change is so discomforting, it is often feared and resisted. Effectively implementing change therefore includes awareness of how change impacts organizational and human dynamics, including understanding the change process itself, as well as how to implement change in a manner designed to ensure sustainability.

15. Manage labor relations.

Description

Work collaboratively with unions/employee organizations and effectively manage collective bargaining agreements.

Rationale

Clear communication, well-defined regulations, and consistent application of rules are integral to good management in any work environment, and with collective bargaining agreements, they are even more essential. This includes understanding the collective bargaining process, related laws, and the implementation of bargaining agreements in order to promote effective personnel management and positive labor/management relationships.

16. Manage power and influence. Description

Understand the organization's history and political climate; use the political process (internal/external and official/unofficial) to accomplish goals; understand the impact of your use of power on the organization and the community.

Rationale

While a certain degree of power accompanies the position of jail leader, the ability to use it effectively and in a responsible manner is a personal attribute. It is especially important that jail leaders understand both the limits and the widespread impact of their power and influence on internal operations as well as external stakeholders.

17. Manage time.

Description

Set an agenda that includes realistic deadlines, defines priorities, and addresses critical issues promptly.

Rationale

Because so many issues are competing for the jail leader's attention, it is essential to establish priorities in order to avoid becoming consumed by the job, and to allow the personal time needed to decompress. This includes setting and meeting deadlines, delegating when appropriate, and avoiding the temptation to try to "do it all" at once.

18. Obtain and manage human resources.

Description

Obtain the human resources needed to achieve organizational goals, allocating them in a manner that supports the jail's vision, mission, and values; assess employee strengths/weaknesses; properly delegate authority.

Rationale

Since a majority of the operating budget of most jails is spent on staff salaries and benefits, recruiting, retaining, and developing competent personnel are significant priorities. Doing so requires insights concerning human resource issues, both nationally and locally. Moreover, assuring a sufficient quantity and quality of well-trained and highly motivated staff is fundamental to safe and secure jail management, administration, and operations.

19. Oversee inmate and facility management. *Description*

Assure that inmates are receiving proper care, custody, and control according to professional and Constitutional standards, as well as appropriate treatment programming.

Rationale

This competency relates to the core mission of local jails. Everything else—from fiscal considerations to power, decision-making, team-building, liability concerns, accountability measures, etc.—essentially supports this endeavor. As such, it not only embraces the laws, court cases, policies/procedures, research findings, and industry standards guiding jail operations, but also the good faith of jail leaders to move the field forward in progressive directions based on evidence-based practices.

20. Oversee physical plant management Description

Assure that the physical plant is in proper condition to assure the safety of inmates, employees, and the public.

Rationale

As the overall facility administrator, it is the jail leader's job to assure that the physical plant is in proper operating condition, to determine when it is necessary to obtain assistance for repairs or preventive maintenance, to plan ahead for projected structural needs, and to insure that the physical plant will be viable for the anticipated life of the building.

21. Reduce jail-related liability risks.

Description

Oversee risk management initiatives to reduce vulnerability and assure compliance with state/national standards and case law.

Rationale

Jails are guided by Constitutional mandates and case law, and thus, can be fertile grounds for liability lawsuits and civil rights claims. Litigation is costly and time consuming, but can be reduced with well-designed policies and diligent operational oversight. This includes understanding current case law and industry standards, training staff accordingly, and assuring that organizational policies and procedures are in compliance.

22. Understand and manage emerging technology. *Description*

Remain aware of emerging technological innovations and their potential organizational applications.

Rationale

As part of their responsibility to visualize future organizational needs, leaders need to keep abreast of the rapidly changing array of jail-related technology and how it can be used to improve operations. Being an informed consumer of expensive technology is important to assure that funds are spent efficiently and cost-effectively. This includes identifying subject matter experts to evaluate, test, and assess new technology, as well as building a level of personal understanding of relevant hardware, software, and security devices in order to make knowledgeable procurement decisions.

Appendix C—Mentor-Mentee Agreement

MENTOR-MENTEE AGREEMENT

The success of the mentoring experience begins with a professional relationship between the mentor and mentee. This interaction is guided by the principles of the Mentoring Jail Leaders (MJL) Program and a self-prepared working agreement between the mentor and mentee. Each mentoring relationship is different and dependent on the personalities and characteristics of the mentor and mentee. The dynamics of the relationship will change as your knowledge, skills, abilities, and understanding grow as a mentor and as a mentee.

MENTOR

The knowledge and skills that create a good mentor:

Skill/Knowledge Areas	A mentor
Interpersonal	 Is amiable, patient, compassionate, empathic, and honest. Is self-confident.
	• Is open and friendly.
	• Understands the implications of internal agency culture(s).
Communication	Is able to pick up on mentee's verbal and nonverbal cues.
	Recognizes and understands different communication styles.
	Is skilled in conflict resolution.
Listening	Is an active listener.
	• Listens for what is <i>not</i> said, as well as what is said.
Content Area	• Is proficient in the areas in which the mentee needs assistance.
	 Has a broad knowledgebase in their field.
	 Keeps up with current trends and latest research.
	• Respects that one agency's way of working has as much value as
	another; there is more than one way to manage a facility.
Awareness of	 Is sensitive to mentee's individual learning styles.
Diversity	 Is comfortable with people of diverse backgrounds.
	Accepts different points of view.
Reflective	Engages in self-reflection.
Supervision Skills	Gives effective feedback.
	 Builds on past experiences to advise and assist mentees with
	their current dilemmas.

Dos and Don'ts when working with your mentee.

Do:	Do Not:
Listen to problems.	Tell mentee what to do.
Teach by example.	Criticize mentee.

Do:	Do Not:
Coach.	Finish work assignments for the
	mentee.
Share knowledge.	Rescue the mentee.
Talk less, listen more.	Place a priority on proving what you
	know.
Focus on processes.	Answer all questions.
Offer advice.	Know everything.
Build confidence.	Give unsolicited advice.
What goes here?	Abandon mentee.

Some of the characteristics of a successful mentee include:

- Willingness to set and achieve personal growth and professional goals.
- Ability to receive and give honest and constructive feedback.
- To be accessible and positive.
- Appreciate that a mentor is not there to do the work for them and may not have all the answers all the time.
- Sharing a peer-peer relationship, not a student/teacher relationship.

MENTOR-MENTEE TIME COMMITMENT

To open the mentor/mentee relationship:

- The mentor schedules an initial telephone meeting within two weeks of being assigned a mentee.
- Schedule in advance a telephone meeting at least once a month, preferably twice a month, in order to develop and maintain an effective relationship and avoid schedule conflicts.
- Maintain a one-to-one mentor-mentee relationship.
- Maintain the mentor/mentee relationship for at least one year.
- Prepare and sign the Mentor-Mentee Agreement.
- Call the program coordinator if there is an irresolvable problem that prevents the relationship from being initiated or continuing.

Mentoring Agreement

Using these principles and guidelines, the mentor and mentee prepare an agreement that describes their expectations and objectives for the mentoring experience.

For graduates or participants of the NJLCA:

- 1. Both the mentor and mentee should print a blank copy of the Mentor-Mentee Agreement or have an electronic copy available before the first telephone meeting. This will prepare both parties for the initial discussion. (See *www.leadingjails.com*)
- 2. During the first telephone meeting, the mentor and mentee jointly review and discuss each question and reach agreement. The mentee records the agreed information in the Mentor-Mentee Agreement. The mentee provides a copy of the completed form to the mentor (via fax, mail or scanned version).
- 3. Both mentor and mentee sign and date the form.
- 4. After both parties have signed, the mentee e-mails a copy of the completed agreement to the program coordinator at: *MJLP@aja.org* or mails to MJL Program Coordinator, American Jails Association, 1135 Professional Court, Hagerstown, MD 21740-5853.
- 5. The mentee is responsible for keeping the form and reviewing/updating as the need exists.
- 6. At least every three months, jointly review the original answers and give the mentoring relationship a checkup. Modify the agreement as needed and initial and date any needed changes.

Questions [•]	To Discuss Durin	a the First Meetir	g/Conversation:
Questions		g uio i not mootii	ig/oonvoisution.

Question	Mutually Agreed Upon Responses
How often will you meet and/or converse?	
Together set the proposed dates and times for calls for the year.	
Recognize that the best intentions can be victim to the day-to-day work duties. By setting dates for the entire year, there is less chance that the dates will be missed.	
How long will your scheduled conversations last?	
This may not be known at the start of the relationship and can be adjusted later in the program.	
What are the ground rules for your discussions (e.g., confidentiality, openness, candor, truthfulness etc.)?	
If problems arise how will they be resolved?	
Discuss what types of problems may arise, such as schedule conflicts, differences of opinion, etc.	

Question	Mutually Agreed Upon Responses
We have agreed that our initial meetings will focus on these core competencies (up to five).	1.
Please refer to the mentee's completed application.	2.
	3.
	4.
	5.
Discuss how we will know when we reach a point where the	1.
mentee has what he/she needs on the particular core	2.
competency? In other words, how do we	3.
know when we have been successful?	4.
	5.
Other issues:	
Is either party planning to attend the same professional conference? Or traveling to the other person's part of the county.	

Agreement

By signing this agreement, both parties agree to abide by the following guidelines:

- Confidentiality is of the utmost importance. What we discuss remains between us unless we agree otherwise. We understand that information shared by us with the MJL Program will also remain confidential.
- We will work together to develop a clear vision for our partnership and appropriate, challenging goals.

- We are both responsible for establishing and regularly assessing our progress toward those stated goals, evaluating our process, and offering constructive feedback to each other.
- We are both responsible for reporting to the MJL Program for periodic evaluations if requested.
- We will determine together what constitutes appropriate time commitments in accordance with MJL Program's guidelines. Once our commitments are established, we will not make excessive demands on each other's time.
- Our time together is mutually agreed upon and our meetings are scheduled in advance. We are both accountable for ensuring that meetings occur regularly.
- If at any time we mutually agree that the relationship is no longer beneficial to either of us, the option to request an exit from this agreement exists at any time during our mentoring relationship. We agree to constructively discuss any differences with each other before exiting the contract. If we do choose to exit this contract, we are both responsible for contacting MJL Program management to debrief.

We agree to follow the goals and guidelines as outlined and described above and in the Mentor's Handbook and the Mentee's Handbook.

Mentee Signature	Date				
Mentor Signature	Date				

Appendix D—Mentee Application

Mentee Application

What is the Mentoring Jail Leaders Program?

The purpose of the Mentoring Jail Leaders (MJL) Program is to develop excellence in current and future jail leaders. It is an extension and implementation of the skills developed in the National Jail Leadership Command Academy (NJLCA). Coaching and mentoring have become widely accepted techniques for extending such development beyond classroom training and on-the-job experience.

While this program has been specifically developed to support the NJLCA, the strategies can be used by jails wishing to implement a leadership development program. A copy of this mentoring program, which may be adapted by any jail or jail system, is available in a standalone module *at www.leadingjails.com*.

To be a Mentee ...

For NJLCA participants: To participate as a mentee in the MJL Program, you must be enrolled in NJLCA, participating in a class at NJLCA, or a recent graduate of NJLCA. Recent NJLCA graduates will be considered as a mentee on a first-come, first-served basis only if mentors are available.

For jails developing their own mentoring program, you are welcome to use this application or modify it for your organization's use.

The Process of Becoming a Mentee

For NJLCA participants: Complete this application and be accepted. All materials including this application and any questions may be e-mailed to the program coordinator at: *MJLP@aja.org* or mailed to MJL Program Coordinator, American Jails Association, 1135 Professional Court, Hagerstown, MD 21740–5853. Most mentees will be matched with a mentor during the NJLCA (or when one becomes available). After finishing NJLCA, mentees will begin the MJL Program.

For jails developing their own mentoring program, use or modify the information in this application for your process.

Orientation

For NJLCA participants: As part of your NJLCA week, you will participate in the Mentee's Orientation. (For additional information, copies of the Orientation, Mentee Handbook, and all other mentee materials are available for download at: *www.leadingjails.com*.) After an initial conversation/meeting with your proposed mentor,

complete the Mentor-Mentee Agreement. This is the working agreement between the partners for the duration of the mentoring relationship. The program requires several meetings a month plus some research or other preparation. You should anticipate committing approximately 8 hours monthly for the duration of the 1 year program.

For jails developing their own mentoring program, the Mentor Handbook is available for your use and modification.

NJLCA Mentee Application

Please respond to the following. Print all information.					
Today's Date:					
Name:					
Primary Contact Information:					
Phone No		()Work()Home() Cell		
E-mail:		() Work () Home () Other		
Employer:					
Current Title/Position:					
Work Address:					
Street Address	City	State	Postal Code		
Jail's Average Daily Populatio	n:				
Small (<150) Mediu	ım (150-999)	Large (more than 1,000)			
Jail Type/Administration (man	aged by or as a	a):			
Elected Official (Sheriff)				
Regional Jail Priv	/ate Vendor	_			
Local/County Commiss	ions State	e-Run			
Tribal/Indian Nation	BOP				
Years working for your current	t employer:				
Previous Employer (if less tha	n 3 years at cu	irrent employer):			
Previous Title/Position:	_				

Previous Jail's Average Daily Population:

 Small (<150) ____ Medium (150-999) ____ Large (more than1,000) ____</td>

 Previous Jail Type/Administration (managed by or as a):

 Elected Official (Sheriff) _____

 Regional Jail _____ Private Vendor _____

 Local/County Commissions _____ State-Run _____

 Tribal/Indian Nation _____ BOP _____

Years at working for this previous employer:

Questions for Prospective Mentees:

Your responses to these questions are used to partner you with the jail leader who is most qualified to help you advance your career.

1. Meetings/Conversations: While face-to-face meetings are ideal, we anticipate these conversations will be over the telephone or other forms of electronic communication. You and your mentor decide the best way to communicate. We anticipate that you will meet twice a month including research or other preparation work as suggested by your mentor. The total time commitment is approximately 8 hours a month. Are you willing to meet this time commitment?

Yes <u>No</u> <u>If you find you cannot devote 8 hours a month, please reconsider requesting a mentor.</u>

- 2. Highest Educational Degree Obtained:
- 3. Professional Certifications (e.g., CJM, etc.):
- 4. Professional Associations (e.g., AJA, etc.) :
- 5. The 22 core competencies of a jail leader are attached (Also see *www.leadingjails.com*).

After reviewing the list: please note which five core competencies will improve you as a leader in your organization?

Are there specific issues about these core competencies that you specifically want to know more about? (See following example)

#	My Priority (1 of 5, 2 of 5, etc.)	Core Competency	Strengths related to this core competency that I need.
15.	2 of 5	Manage labor relations.	How to work more cooperatively with the union's leadership. What are the legal requirements I have to follow? How can I avoid going to arbitration when I terminate
			someone?

#	My Priority (1 of 5, 2 of 5, etc.)	Core Competency	Strengths related to this core competency that I need.
	, ,		

Core Competencies of Jail Leaders

The 22 core competencies of jail leaders are listed below in alphabetical order followed by two paragraphs. The first paragraph briefly describes the core competency and the second outlines the knowledge, skills and abilities required for that core competency.

1. Anticipate, analyze and resolve organizational challenges and conflicts. *Description*

Use critical thinking skills, evidence-based practices, and information analysis to inform decision-making and address organizational problems; proactively identify pending crises or opportunities.

Rationale

Jail leaders must be able to not only chart their organization's future course, but also to navigate the present. Dealing with current organizational challenges must be predicated on a sound knowledge of the past and a clear vision of the future, including strategies for preventing organizational conflicts.

2. Assure organizational accountability.

Description

Direct the process for collecting ongoing feedback and assessing long-term outcomes; model self-accountability through words and actions.

Rationale

Jail leaders are ultimately accountable to the public, the inmate population, and those both above and below them in the chain of command. This includes identifying, collecting, analyzing, and disseminating which data and information are needed to assure accountability in a transparent method that provides a "report card" for stakeholders.

3. Build and maintain positive relationships with external stakeholders. *Description*

Develop reciprocally-beneficial external partnerships to achieve shared goals.

Rationale

Since jails are impacted by other criminal justice agencies, local and state policymakers, and community organizations, they cannot operate effectively without developing working relationships with such groups. Establishing linkages with these stakeholders not only assists with accomplishing the jail's mission, but also with managing related external forces over which jails have no direct control. Identifying and building such relationships is a two-way process for the mutual benefit of everyone involved.

4. Build and maintain teamwork; mentor and coach others.

Description

Work with staff to strengthen the team and members of it; lead by example; go beyond the expected to assure the success of all involved.

Rationale

Creating and nurturing a successful team takes thoughtful planning, time, energy, and ongoing attention. This includes building and maintaining teamwork through both formal and informal strategies, such as mentoring, role modeling, and other approaches to uniting the talents of a diversified workforce. Great teams are not accidental, but rather, are the product of great leadership.

5. Communicate effectively, internally and externally. *Description*

Engage in written and oral communication that is clear, unambiguous, transparent, and consistent with the organizational vision, mission, and values.

Rationale

This competency is actually a fundamental component of each of the other twenty-one competencies. It includes effectively using all available means of communication (e.g., oral, written, electronic) to manage people, make decisions, and collect and disseminate information in a way that is appropriate, unambiguous, and mutually beneficial.

6. Comprehend, obtain and manage fiscal resources. *Description*

Understand the larger financial picture, as well as obtain the fiscal resources needed to achieve organizational goals, allocating resources in a manner that supports the organizational vision, mission, and values.

Rationale

Not only must jail leaders comprehend specifics of the budgeting process, but they must also be able to assure that the jail has sufficient financial resources to fulfill its mission by obtaining stakeholder support. This includes not only assessing what resources are needed, but also managing them in a costeffective manner by effectively prioritizing, eliminating waste and duplication, identifying alternatives to supplement the budget, and making reductions as necessary.

7. Develop and maintain a positive organizational culture that promotes respect for diverse staff.

Description

Assess the agency's internal culture, assure that it is aligned with the mission, vision, and values; work to change culture as necessary; support culture that moves toward identified organizational goals.

Rationale

Managing a diverse workforce, (and inmate population), requires considerable effort to create "one out of many." This includes identifying, developing, and maintaining an organizational culture that values diversity, embraces change, promotes a positive approach, and is consistent with the organization's vision, mission, and values.

8. Develop and sustain organizational vision/mission. Description

Establish a long-range purpose for the organization and provide overall direction and guidance for achieving its mission and measuring success.

Rationale

This competency establishes the basis for pursuing all others. It clarifies the essence of the organization's existence by creating, implementing and maintaining the jail's vision and mission through participatory consensus-building with staff and stakeholders.

9. Engage in strategic planning.

Description

Proactively analyze emerging trends, anticipate related organizational needs, and develop strategies for meeting them.

Rationale

Effective management and accountability requires long-range planning efforts and structured operations. This includes maintaining a comprehensive foundation of information, collecting and analyzing relevant data, and working with community stakeholders who are impacted by the jail.

10. Enhance self-awareness; maintain proactive professional commitment. *Description*

Identify personal strengths and weaknesses; make use of professional resources (e.g., technical assistance, research reports, professional organizations, peer reviews, networking, etc.).

Rationale

Before anyone can effectively lead others, he or she must have sufficient insight into their own strengths and weaknesses, especially in terms of how they potentially impact their staff. Leaders must be willing to take an objective and indepth look at themselves and be willing to change.

11. Establish organizational authority, roles, and responsibilities. *Description*

Assure that duties and responsibilities are properly distributed throughout the organization, along with the authority necessary to fulfill them, in order to promote smooth, efficient functioning within legal parameters.

Rationale

Jails do not run themselves. While the leader's job does not extend to day-to-day operational management, it is the leader's responsibility to develop an overall organizational structure that ensures effective functioning, complies with relevant laws, establishes clear lines of authority and accountability, and ultimately, promotes fulfillment of the jail's vision and mission.

12. Leverage the role of the jail in the criminal justice system. *Description*

Maintain outcome-focused interaction with other components of the justice system to identify and resolve problems and advance the vision/mission of the jail.

Rationale

Because jails are so profoundly affected by operations of other agencies throughout the criminal justice system, they cannot afford to engage in autonomous policy development or decision-making. Yet all too often, local jails operate as an island, trying to independently solve system-created problems. Local jail leaders need to take the initiative to establish system-wide collaboration. This calls for understanding the interdependent relationships between components of the justice system and developing working relationships that minimize the negative impact of other agencies' actions while maximizing creative

13. Make sound decisions.

Description

Establish a decision-making style that is firm, fair, and consistent, data-driven, and aligned with the organization's vision, mission, and values; appreciate the impact of decisions on those in and outside of the organization.

Rationale

Jail leaders are responsible for the decisions they make, as well as for the decisions made by their subordinates. This includes making decisions in a manner that ensures organizational accountability, as well as consistency with policies, procedures, and effective leadership practices. It likewise means making decisions within legal guidelines, in concert with the jail's vision/mission, and in accord with positive trends in jail management.

14. Manage change.

Description

Progressively implement change through strategies that encompass relevant stakeholders.

Rationale

Because change is so discomforting, it is often feared and resisted. Effectively implementing change therefore includes awareness of how change impacts organizational and human dynamics, including understanding the change process itself, as well as how to implement change in a manner designed to ensure sustainability.

15. Manage labor relations.

Description

Work collaboratively with unions/employee organizations and effectively manage collective bargaining agreements.

Rationale

Clear communication, well-defined regulations, and consistent application of rules are integral to good management in any work environment, and with collective bargaining agreements, they are even more essential. This includes understanding the collective bargaining process, related laws, and the implementation of bargaining agreements in order to promote effective personnel management and positive labor/management relationships.

16. Manage power and influence. Description

Understand the organization's history and political climate; use the political process (internal/external and official/unofficial) to accomplish goals; understand the impact of your use of power on the organization and the community.

Rationale

While a certain degree of power accompanies the position of jail leader, the ability to use it effectively and in a responsible manner is a personal attribute. It is especially important that jail leaders understand both the limits and the widespread impact of their power and influence on internal operations as well as external stakeholders.

17. Manage time.

Description

Set an agenda that includes realistic deadlines, defines priorities, and addresses critical issues promptly.

Rationale

Because so many issues are competing for the jail leader's attention, it is essential to establish priorities in order to avoid becoming consumed by the job, and to allow the personal time needed to decompress. This includes setting and meeting deadlines, delegating when appropriate, and avoiding the temptation to try to "do it all" at once.

18. Obtain and manage human resources.

Description

Obtain the human resources needed to achieve organizational goals, allocating them in a manner that supports the jail's vision, mission, and values; assess employee strengths/weaknesses; properly delegate authority.

Rationale

Since a majority of the operating budget of most jails is spent on staff salaries and benefits, recruiting, retaining, and developing competent personnel are significant priorities. Doing so requires insights concerning human resource issues, both nationally and locally. Moreover, assuring a sufficient quantity and quality of well-trained and highly motivated staff is fundamental to safe and secure jail management, administration, and operations.

19. Oversee inmate and facility management. *Description*

Assure that inmates are receiving proper care, custody, and control according to professional and Constitutional standards, as well as appropriate treatment programming.

This competency relates to the core mission of local jails. Everything else—from fiscal considerations to power, decision-making, team-building, liability concerns, accountability measures, etc.—essentially supports this endeavor. As such, it not only embraces the laws, court cases, policies/procedures, research findings, and industry standards guiding jail operations, but also the good faith of jail leaders to move the field forward in progressive directions based on evidence-based practices.

20. Oversee physical plant management Description

Assure that the physical plant is in proper condition to assure the safety of inmates, employees, and the public.

Rationale

As the overall facility administrator, it is the jail leader's job to assure that the physical plant is in proper operating condition, to determine when it is necessary to obtain assistance for repairs or preventive maintenance, to plan ahead for projected structural needs, and to insure that the physical plant will be viable for the anticipated life of the building.

21. Reduce jail-related liability risks.

Description

Oversee risk management initiatives to reduce vulnerability and assure compliance with state/national standards and case law.

Rationale

Jails are guided by Constitutional mandates and case law, and thus, can be fertile grounds for liability lawsuits and civil rights claims. Litigation is costly and time consuming, but can be reduced with well-designed policies and diligent operational oversight. This includes understanding current case law and industry standards, training staff accordingly, and assuring that organizational policies and procedures are in compliance.

22. Understand and manage emerging technology. *Description*

Remain aware of emerging technological innovations and their potential organizational applications.

Rationale

As part of their responsibility to visualize future organizational needs, leaders need to keep abreast of the rapidly changing array of jail-related technology and how it can be used to improve operations. Being an informed consumer of expensive technology is important to assure that funds are spent efficiently and cost-effectively. This includes identifying subject matter experts to evaluate, test, and assess new technology, as well as building a level of personal understanding of relevant hardware, software, and security devices in order to make knowledgeable procurement decisions.

Appendix E— Mentor Post-Program Survey

Mentor Post-Program Survey

Name:	
Date:	

The Mentoring Jail Leaders Program is a continually evolving project. In order to build upon your experiences and make improvements for future participants, we need your candid opinions.

If you participated as a mentor in the NJLCA program, please complete the questions below and return this survey to the program coordinator at *MJLP@aja.org* or mail to MJL Program Coordinator, American Jail Association, 1135 Professional Court, Hagerstown, MD 21740–5853.

If your organization has developed its own mentoring program, please follow your agency's instructions regarding the post-program evaluation.

	As a result of participating as a mentor in this program:	Agree strongly	Agree	Neutral	Disagree somewhat	Disagree Strongly	Comments
1	I improved my own leadership capabilities.						
2	I have a greater sense of job satisfaction.						
3	My mentee increased his/her leadership <i>knowledge</i> in most of their selected core competencies.						
4	My mentee increased his/her leadership <i>skills</i> in most of their selected core competencies.						
5	The Mentor's Handbook prepared me for my mentoring responsibilities.						
6	I developed additional mentoring skills.						
7	I believe I expanded my mentee's leadership support network.						

	As a result of participating as a mentor in this program:	Agree strongly	Agree	Neutral	Disagree somewhat	Disagree Strongly	Comments
8	I plan to maintain contact with my mentee after completion of the program.						
9	I will volunteer to serve as a mentor again.						
10	I will recommend that others participate as a mentor.						
11	I will recommend others participate as a mentee.						

12. Did you need or want additional preparation before participating in the program? (*Please circle your response*): Yes Maybe Probably not No

If yes, or maybe, what should be added or changed?

13. Please rate what you professionally gained from being a mentor in program.

(Please circle your response):

Great amount Fair amount Few things Practically nothing

Please provide your suggestions for improvement:

14. For NJLCA participants: Does your agency currently have a leadership mentoring program?

(Please circle your response):

Yes No

If not, would you recommend implementation of a leadership mentoring program in your agency?

(Please circle your response): Yes No

If not, why not?