# Jump Start Your Jail's Leadership Development

# Application of the Jail Leadership Core Competencies

March 2019

Susan W. McCampbell, President Center for Innovative Public Policies, Inc. <u>www.cipp.org</u>



Tab	ole of Contents	
		Page
✓	Growing Your Jail's Next Leaders	3
✓	What's Here?	3
✓	The Development of the Twenty-Two Core Competencies	4
✓	Overview - The Twenty-Two Core Competencies for Jail Leaders	5
✓	Now What? <ul> <li>Using the Core Competencies for Organizational Development</li> <li>Using the Core Competencies for Personal Development</li> </ul>	6 12
✓	What's Next? o Overcoming Obstacles: Strategies for Implementation	14
✓	Appendix A – The Detail Twenty-Two Core Competencies for Jail Leaders	16
✓	Appendix B – Self-Assessment – Core Competencies	28
$\checkmark$	Resources and Bibliography	53

Thanks to the reviewers of the draft of this document, graduates of the National Jail Leadership Command Academy (NJLCA): Amy Grimm, Maricopa County, Az., Eddie Lance, Transylvania County, N.C., Aaron Shepherd, Ada County, Id., John DiCroce, SCORE, Wa., Chad Kolitewenzew, Kankakee County, Il., William Sanders, Riverside Regional Jail, Va., Fernando Castro, San Carlos, Az., Michael Beatty, Charleston County, S.C., Ricky Armstrong, McLennan County, Tx., John Johnson, Miami-Dade Corrections and Rehabilitation, Fl., Jeffrey Jackson, Desoto County, Ms., Shawn Laughlin, Broomfield Police Department, Co., Melissa Rodriguez, Miami-Dade Corrections and Rehabilitation, Fl., John Hicks, York County, Me. Dareece Canady, Collier County, Fl., Lynni O'Haver, Collier County, Fl., Henry Reyes, Tarrant County, Tx., Shaun Klucznik, Hernando County, Fl., Misha Karakashevich, Denton County, Tx., Charles Tomes, Ontario County, NY., Anthony Gettler, City of Denver, Co., Shaun Hubbard, Lexington-Fayette, Ky., Leslie Hood, Shelby County, Ms., Ronny Taylor, Harris County, Tx. Mary Earley, Douglas County, Ne., Jonathan Ede, Travis County, Tx., Paul Stoltenberg, Ada County, Id., George Pizzo, Camden County, NJ., Patricia Ramsey, Lee County, Fl. Thanks also to Dr. Randy Garner, Sam Houston State University, Jim Hart, University of Tennessee, Genie Elton, Kitsap County, Wa., and Melissa McClellan, Oakland County, Mi.



# Growing Your Jail's Next Leaders

"Growing other leaders from the ranks isn't just the duty of the leader, it's an obligation." Warren Bennis

What skills, knowledge and abilities do individuals need to possess to be an effective jail leader? How can organizations grow their next leaders?

Leadership development remains a priority for the profession. Members of the Baby Boomer generation are retiring each day, and specific initiatives to prepare the next leaders lag behind the needs.

Need for Leadership Development

A recent survey of jail leaders revealed that 96% of respondents had a high or medium priority need for leadership development in their jails.

Leadership development doesn't happen in the boss's office or in a closed conference room. The initiative needs to have vision, be shared, and enlist supporters through the community. Jail leadership is a public safety issue. To be successful, the initiative must have transparency and inclusion.

# What's Here?

This toolkit is intended to provide the foundational information needed by jails to jump start or refine leadership development programs. Providing



©2019 Center for Innovative Public Policies, Inc. Version 1.0

foundational ideas means that jail leaders will need to invest time in their exploration of ideas presented here.

This toolkit includes:

- A description of the core competencies for jail leaders;
- Strategies to use the core competencies to develop or update a jail's leadership development program;
- Using the competencies for organizational development;
- Self-development ideas employing the core competencies;
- A self-assessment of the skills, knowledge and abilities of the core competencies; and
- Resources and bibliography.

The competencies define the specific skills, knowledge and abilities needed by jail leaders. Articles, published in *American Jails*, expand on each of the competencies and provide information to use to activate a leadership program, including resources and a suggested leadership library. For a personal leadership development assessment, a checklist is provided for a self-rating. Using this information can inform the reader's own leadership needs and plot the journey.

Who can use this toolkit?

- Jail leaders, emerging leaders, mid-managers, supervisors, including jail training personnel.
- Human Resources professionals.
- Criminal Justice Educators.
- Local and state criminal justice policy and decision-makers.
- Elected officials.
- Community and businesses.

Public safety colleagues outside corrections/jails.

Benefits to those using this toolkit include, but are not limited to:

- Identify objective information to hire, promote, and develop jail professionals.
- Provide opportunities for professional and personal growth.
- Inform decision-makers, funders, the community and business regarding the skills, knowledges and abilities needed to lead jails.
- Identify platforms for collaboration with other public agencies needing to develop the next generation of leaders.
- Establish cost effective strategies to develop leaders.
- Give an evidence-base to human resources' functions – update/develop job descriptions, promotional processes, and training.



# Development of the Twenty-Two Core Competencies

The core competencies were developed in a collaborative, national effort, funded by the U. S. Dept. of Justice, Bureau of Justice Assistance. The full report is available at http://www.cipp.org/uploads/3/7/5/7/ 37578255/final\_6.6.11bjajails mentoring-core competencies.pdf

The core competencies were developed by jail leaders from all regions of the United States, all sizes of jails, and representing different organizational structures (e.g. sheriff, county, regional, tribal).

The word "jail" does not often appear in the core competencies. The team developing the competencies was clear that leadership is much broader than "jail." The coalition assumed that someone preparing for jail leadership is competent in the "nuts and bolts" of operations. This is why collaboration with other organizations and public safety agencies is possible using the core competencies.

Starting in August 2015, *American Jails*, the publication of the American Jail Association

(<u>https://www.americanjail.org</u>), included in each of the bi-monthly magazine, an exploration of each of the core competencies, which included a "leader's library" to provide further suggestions for organizational and personal development.

The articles are achieved at <u>http://www.cipp.org/core-</u> <u>competencies.html</u> and on the AJA website at <u>https://www.americanjail.org/content.as</u> <u>p?contentid=228</u>

The core competencies are the foundation for current national and regional jail leadership programs, including the National Jail Leadership Command Academy

(http://nationaljailacademy.org), the Jail Executive Development Program, the Southwest Florida Jail Leadership Initiative, the California Jail Command Academy, the Virginia Association of Regional Jails Leadership Initiative, and the New Jersey Correctional Leadership Series. (See

https://www.americanjail.org/jli for more information). Other states, and regions are also exploring leadership program based on the core competencies.

While it is unlikely that all 22 competencies can be addressed in any single leadership program, collaborations among participants have identified the top priorities for those organizations.



# **Overview - The Twenty-Two Core Competencies for Jail Leaders**

The jail leaders developing the competencies did not prioritize them, and this is an alphabetical list with the link to the article about each. <u>Appendix A</u> includes the specific skills, knowledge and abilities for each of the competencies.

#	Core Competency/Link to Articles
1	Critical Thinking: anticipate, analyze and resolve organizational challenges and conflicts
	http://www.cipp.org/uploads/3/7/5/7/37578255/1_critical_thinking.pdf
2	Assure organizational accountability
	http://www.cipp.org/uploads/3/7/5/7/37578255/2_organizational_accountability.pdf
3	Build and maintain positive relationships with external stakeholders
	http://www.cipp.org/uploads/3/7/5/7/37578255/3_external_stakeholders.pdf
4	Build and maintain teamwork; mentor and coach others
_	http://www.cipp.org/uploads/3/7/5/7/37578255/4_mentoring_and_coaching_others.pdf
5	Communicate effectively, internally and externally
(	http://www.cipp.org/uploads/3/7/5/7/37578255/5_communicate_effectively.pdf Fiscal Management
6	http://www.cipp.org/uploads/3/7/5/7/37578255/6_fiscal_resourcesl.pdf
7	Develop and maintain a positive organizational culture that promotes respect for diverse staff
	http://www.cipp.org/uploads/3/7/5/7/37578255/7_positive_organizational_culture.pdf
8	Develop and sustain organizational vision/mission
Ŭ	http://www.cipp.org/uploads/3/7/5/7/37578255/8_vision_organization_mission.pdf
9	Engage in Strategic Planning
	http://www.cipp.org/uploads/3/7/5/7/37578255/9_strategic_planning.pdf
10	Enhance self-awareness; maintain proactive professional commitment
	http://www.cipp.org/uploads/3/7/5/7/37578255/10_self-awarenessprofessional_commitment.pdf
11	Establish organizational authority, roles, and responsibilities
10	http://www.cipp.org/uploads/3/7/5/7/37578255/11_establish_authority.pdf Leverage the role of the jail in the criminal justice system
12	http://www.cipp.org/uploads/3/7/5/7/37578255/12_jails_role_in_cj_system.pdf
13	Make sound decisions
15	http://www.cipp.org/uploads/3/7/5/7/37578255/13_sound_decisions_no_ads.pdf
14	Manage Change
	http://www.cipp.org/uploads/3/7/5/7/37578255/14_change_no_ads.pdf
15	Manage employee and labor relations
15	http://www.cipp.org/uploads/3/7/5/7/37578255/15_manage_labor_relations.pdf
16	Manage power and influence
	http://www.cipp.org/uploads/3/7/5/7/37578255/16_manage_power_and_influence.pdf
17	Manage time
	http://www.cipp.org/uploads/3/7/5/7/37578255/17_time_management.pdf
18	Obtain and manage human resources
10	http://www.cipp.org/uploads/3/7/5/7/37578255/18_human_resources.pdf
19	Oversee inmate and facility management http://www.cipp.org/uploads/3/7/5/7/37578255/19_inmatefacility_management.pdf
20	Oversee physical plant management
20	http://www.cipp.org/uploads/3/7/5/7/37578255/20_physical_plant_management.pdf
21	Reduce jail-related liabilities
21	http://www.cipp.org/uploads/3/7/5/7/37578255/21_reduce_jail-rel[3525].pdf
22	Understand and manage emerging technology
	http://www.cipp.org/uploads/3/7/5/7/37578255/22_managing_emerging_technology.pdf



# Now What? Using the Core Competencies for Organizational Development

How can a jail use the core competencies to improve the <u>ORGANIZATION and</u> jump start jail leadership initiatives? The jail's leadership team should review all the competencies, assess their organization's alignment and set priorities. Without extraordinary resources, no jail is likely to credibly implement a totally comprehensive system. Progress requires the shared commitment of human resources and fiscal staff. You'll find a discussion of many these suggestions in an article on core competencies.

As the Chinese proverb tells us: "A journey of a thousand miles begins with a single step."

# 1. Begin a leadership development initiative.

Document the need for a formalized, proactive leadership development program in your jail. What's the data – how many are retiring? What is the immediate future of retirements in your jail? Are supervisors and mid-managers being prepared for leadership roles? How are leaders currently being prepared? How can this data be used to support/justify the costs of a leadership development program?

What are leadership in your community – for example, in local law enforcement or other criminal justice and public service agencies? Chances are if the jail is struggling with leadership development, so are brother/sister agencies. Reach out, build coalitions, share information, develop collaborative programs.

A jail cannot be an effective partner with others in leadership development initiatives if it has not defined for itself what it needs, and can professionally articulate those needs.

Assure that the internal culture is assessed, identified, and addressed as such a new initiative begins. Who are the helpers, who are the detractors, and how can an internal coalition be built and maintained?

Use the personal leadership inventory to assess the status of skills, knowledge and abilities among emerging jail leaders.

What Data Can Help?

Relevant basic information jails should maintain include:

- Accurate annual attrition data by rank;
- Anticipated retirements;
- Effectiveness and timeliness of the promotional process;
- Current leadership initiatives;
- Why employees are leaving;
- Skill sets of emerging leaders;
- Needs/initiatives of local public safety agencies;
- Resources available at local colleges and universities; and
- Interest of staff in promoting.



# 2. Adopt and practice a genuine commitment to the next generation of leaders.

Is there a clear commitment to the next generation of leaders in your jail? Damage from "pretending" there is a commitment measured against actions may be a substantial gap. Do the jail's leaders walk the talk? How can a commitment, even with limited resources, be initiated?

Create/revise/update the jail's mission, vision and values statements based on core competencies that includes a commitment to staff development. Align practices with mission, vision, values and core competencies.

Seek to abandon the notion that "if it ain't broke, don't even look at it" – infuse the organization with the freedom to challenge how things are done and focus on continuous improvement.

When was the jail's mission, vision or value statements last updated? Does this document provide the foundation for how to grow the next generation of leaders?

Actions may include:

- Conduct on-going assessment of staffreadiness for promotions to leadership positions;
- Hold leadership seminars based on needs and interests, and include as many staff who are interested.
- Find mentors for emerging leaders.
- Affirm the position that all employees are leaders regardless of rank.

• Involve, communicate and communicate leadership vision

### What else?

- Align training to the core competencies.
- Align training to mission, vision and values.
- Involve the local community and stakeholders.
- Outreach to the neighbors the jail serves, let the citizens get to know the jail's leaders (formal and informal).

# 3. Assess the Organizational Structure and the People

Build on a credible foundation. When was the last time the jail's organizational structure was objectively evaluated? Are the right people in the right jobs?

Is the organization top-heavy, decentralized, responsive, wellperforming? Are supervisors of high quality and worthy of being role models? Is the real accountability in the agency? Seek through leadership development to perpetuate or replicate an organization that is high functioning, well respected, and seen as an ethical organization.<sup>i</sup>



# 4. Core Competencies Guide Daily Operations

Consider assuring the core competencies are incorporated into daily operations through internal education, achieving buy-in, identifying priorities, and developing and implementing action plans. If the jail is not able to take on a leadership development program, start by smaller initiatives. Review operations through the lens of the core competencies. Is the jail up-to-date on accepted or emerging practices?

5. Develop assessment centers and/or job simulations for emerging leaders based on the core competencies to identify their strengths and weaknesses. Act on results.

Traditional assessment centers used for promotion, if solidly linked to bona fide job duties and core competencies, are invaluable to growing future leaders. Mini-assessment centers, or jobsimulation activities, can be used to give both the organization a benchmark on what the next generation needs, and highlight what individuals might want to improve. Start with assessment of a few competencies and build on success. Ask emerging leaders to: prepare next year's budget (or part of the budget); assess the jail's chain-of-command and develop recommendations; and/or implement accountability-based management in your jail.

Appendix B contains an instrument that individuals can use to assess their own progress with acquiring the skills, knowledge and abilities of the 22 core competencies. Using this same ©2019 Center for Innovative Public Policies, Inc. Version 1.0 instrument to look more widely at employees can be a mini-assessment center – and can inform and prioritize training needs.

# Time to Objectively Critique All Training

- Are training strategies designed to address the different learning styles such as:
- Are adult learning concepts built in to the system?
- Are the learning styles of the different generations built in. Do millennials rely more technology in their learning?
- Is your training in touch with the actual job being done?
- Do the instructors come from the staff doing the work? If professional trainers what are the implications on your culture?

# 6. Critically examine current training and employee development programs.

What training is provided to employees, when, by whom, and is the training directly linked to required job duties. Do training dollars have a positive return for the jail? Have training strategies been updated to address for the needs of Millennials and Generation Zs? Are instructors trained and competent? Does your jail have an FTO/CTO program, formalized in policy/procedures? How does the requirements of that program line up with the core competencies?<sup>ii</sup>

Critically review existing leadership development initiatives – internal/external, that is intended to prepare the next leaders. Make a list. What is available and what is used? How



many people have attended various programs. What is their feedback? How has the participation of staff in various programs provided concrete improvements based on their experiences? Is there a mentorship or shadowing program? How do new supervisors, mid-managers and leaders learn to LEAD?

Consider the needs that can be revealed if employees self-assess their leadership needs, for example, by using a tool like Appendix B.

7. Establish a mentorship program (Resources available at: <u>http://www.cipp.org/jail-</u> <u>mentoring-program.html</u>)

Mentorship programs are helpful for the mentor and the mentee. These are informal programs, but require a level of oversight to organize, observe, assess, and modify as needed.

The organization can focus on mentoring for different organizational levels (for example, for executive, for first line supervisors) and for job duties – always including the civilian staff.

## 8. Human Resources Fusion

The Human Resources personnel who are to serve the jail require education, alignment, nudging, and involvement to jump start jail leadership. Among the collaborations are:<sup>iii</sup>

a. Improve promotional processes. Revise assessment centers for selection of mid-manger/leader incorporating the core competencies. Revise job interview questions and



accepted responses based on core ©2019 Center for Innovative Public Policies, Inc. Version 1.0 competencies. Develop study materials based on core competencies.

- b. Link promotional opportunities for mid-managers and leaders to the core competencies. Can those who wish to be promoted demonstrate their proficiency? Are promotional materials linked to the core competencies? Communicate, concretely what it takes to become proficient and advance in the organization.
- c. Revise performance appraisals with benchmarks anchored in the core competencies. Performance appraisals should be more than whether people show up to work on time and have neat uniforms. As job descriptions are updated, also update and align performance appraisals to the core competencies.
- d. Assess job announcements/ recruitments/solicitations for all *levels* of positions in the organization. Many jails now note a preference in hiring and promotion for leadership level positions for Certified Jail Managers (CJMs). Revise materials to include the skills, knowledge and abilities of the critical core competencies? Another opportunity to involve staff from human resources.
- e. Update/revise job descriptions for first line, mid-managers and leadership positions. The core competencies provide information that can be used to update the job descriptions for leaders. Whether used for internal promotional processes or to recruit outside the organization, there are clear skills, knowledge and abilities needed.

# 9. External stakeholders.

Share information about the professional expectations of jail leaders with funders, community leaders, stakeholders and other public agencies in your jurisdiction.

We know that about half the adults in the US have a loved one who has been or is incarcerated. There is a constituency who sees the need for well-run, safe jails. Educate the funders, community leaders, citizens about what your jail needs – especially in terms of the next leaders.<sup>iv</sup>

10. Seek collaborations; co-develop leadership initiatives. Review leadership opportunities in your county, region, state or near-by states.

There are public and private sector agencies experiencing the same leadership crisis as the jail. Find them, invite them in, find the common threads, develop action plans. There are only a small number of the core competencies that speak to the specifics of jail operations. Most competencies are focused on leadership – regardless of the organization. Capitalize on this. Identify who can provide what resources. Who are the business leaders in the community and can they commit to improving the jurisdiction through leadership initiatives? Collaborate on Distance and eLearning platforms.

While it may seem that technical colleges, colleges and universities know what is needed in the jail for leadership – they most likely do not. Involve them, learn from them, they will learn from you and be a more effective partner.



C 2019 Center for Innovative Public Policies, Inc. Version 1.0

11. Role model and encourage reading the competency articles among shifts, work groups, or staff meetings. Create a leadership lending library – hard copy or electronic.

If the leadership doesn't think this topic is important, neither will anyone else. Ask staff to take the lead in book group discussions. Find ways to introduce the competencies and the vision of the agency for the next leaders.

Establish the jail's place as a learning environment – setting expectations for increasing professional and leadership capabilities using the competencies.

Develop shift briefings/roll call trainings. Share information there. Create the expectation that all employees are leaders.

## 12. Hold agency sponsored seminars, inviting other public safety organizations and jails.

Take the jail to a new level and host events, seminars, learning opportunities for employees, local governments workers, and peers. Find hot topics, invite experts to be involved. Establish a positive presence in the community.

## 13. Work to establish an environment and internal culture where people are excited about coming to work.

Identify what is important to staff. Conduct "stay interviews." Fix what you can. Find ways to increase opportunities and job satisfaction. Learn about the newest generations in the work place. Provide for job rotation and opportunities where permitted by work rules.

# 14. Encourage/pay for professional certification programs

Examine ways to reward staff for leadership initiatives – whether seeking a CJM, Certified Public Manager, or attending the state jail conference – increase the employees' exposure to other ways of doing things and other ways of thinking.

## 15. Establish an educational incentive program collaborating with local institutions of higher education and technical skills.

Identify resources to encourage attending programs, in person or on-line. May be more pay doesn't fit in the budget, but opportunities for promotions, or different job assignments do. Reevaluate options.

# Conclusion – For the Organization

These are just a few ideas about how core competencies can be used to advance the jail's leadership development initiatives. There are undoubtedly more and networking with peers will result in more ideas.



# Using the Core Competencies for Personal Development

Self- improvement is up to you. You set your personal priorities. As Thomas Edison observed – *whether you think you can or think you can't, you're right*. It's all in the attitude.

One way for you to get insight into your abilities is to use Appendix B. This is a checklist that allows you to honestly assess your own leadership skills. If you choose to use this tool – plot your path based on your results.

# 1. Read the articles, assemble a personal library. Expand your base of knowledge about leadership.

Time is important to all, and how you allocate your time is your prerogative. Include in your week a commitment to read one book, one article, or network with peers outside your jail. See the Resources and Bibliography included in this tool kit. If you don't make your goal, keep trying. Sign-up for the many of the news aggregators which bring information to your inbox.

## 2. Honestly assess your skills, knowledge and abilities with each core competency.

Be honest with yourself. No one else needs to know what you identify as your strengths or weaknesses. Figure out what the top three or five things are you need to do. Maybe you don't aspire for a promotion in this job, and you can focus on your personal satisfaction in your job and improving those you work with. It may take a few months, or years, but



 $\ensuremath{\mathbb{C}}$  2019 Center for Innovative Public Policies, Inc. Version 1.0

mentor who can help you with looking at yourself, giving ideas, and providing encouragement.

Identify professional certifications and, as appropriate, obtain those certifications.

See Appendix B.

# 3. Bloom where you are planted.

Assess how to improve operations, resource management, etc. which are under your control and develop and work a plan.

You may be frustrated because you don't see your jail's leadership moving forward, supporting employees, or being transparent. After you've tried to change the status quo – consider an altered agenda – focus on the people, process and things you can control.

# 4. Find a mentor, inside or outside the organization.

Having a person to be a listener, a guider, a corrector, and a cheerleader makes all the difference. Find someone who will be honest with you and mirror back with you are saying. Find someone who is willing, approachable, honest, credible, transparent, visionary, and committed to your success.

## 5. Mentor someone.

Reach out and offer your knowledge to someone else. Do it because they are willing to hear you, and because you will be better because of the experience. It is NOT what's in it for you, but your satisfaction in watching someone else grow. 6. Reach out to peers in other agencies (not just jails) to increase your perspective and knowledge.

Network. Often, we only know what we know – and having other ideas is invaluable. Maybe they won't work in your jail, but they will give your perspective. Find others on list serves and blogs what inspire to move you toward leadership learning.

## 7. Join community groups, attend public meetings, learn about stakeholders.

The boss should not be the only one expected to interact with the community, attend public hearings, defend the jail's budget, or keep the neighborhoods up to date on the jail. Join in. Learn why it is that the animal shelter's budget will be approved and the jail's will be cut. Find out how powerful a community organization can be in shaping public policy. Invite people to the jail for tours, start a citizen's advisory board or a citizen academy.

# 8. Attend professional conferences.

Even if you sometimes have to use your own resources, commit to improving by networking and expanding you.

## 9. Share what you know.

Write an article, develop curriculum, volunteer to present at conferences – stretch yourself. See above, find a mentor to help you.

# **Conclusion – For You**

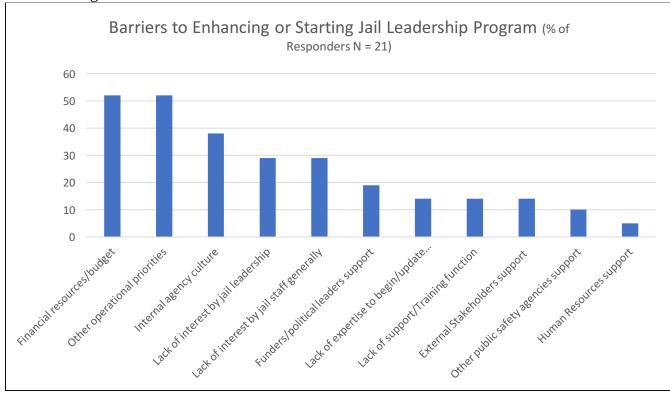
There are many more ideas, or combination of ideas to enable your personal growth and development. For more ideas go to:

http://www.cipp.org/uploads/3/7/5/7/ 37578255/10\_selfawareness\_\_professional\_commitment.p df



# What's Next? Overcoming Obstacles and Strategies for Implementation

Leadership development is not selfinitiating. It takes an individual, a committed group, and coalition of workers to identify this priority and then start working. To provide additional information, a survey of twenty-two jail administrators asked them to identify the barriers to beginning or enhancing their leadership program, noting as many of the potential barriers as relevant.



# Additional concerns included:

- Insuring generational relevance exciting both the newest generations, and getting buy-in of older workers
- Verbal commitment from leaders; but no actual follow-through; empty promises
- Lack of staff and technology support
- Overcoming "fear" among older leaders that the "kids" will take their jobs
- CENTER FOR INNOVATIVE PUBLIC POLICIES
- ©2019 Center for Innovative Public Policies, Inc. Version 1.0

- Trust of leadership
- Overcoming history of inaction
- Time
- Getting staff motivated with reasons to "buy-in"

Start conversations about what is needed, and how it can happen. Find people who are passionate about this. Recruit those who may not be as motivated, but will be the end-users (the Millennials and Generation Zs). Find community supporters. Involve other public organizations. Enlist the help of the local community college and/or university.

Establish a task force. Assign leadership. Share the work. Review the core competencies. Determine the priorities for your jail. Establish deadlines. Hold each other accountable. Don't let barriers stop progress, find ways around.

There are many formats of action plans and the essential ingredients are:

- Specific actions will occur in clear, objective, measurable statements.
- Who will carry out these actions; identify others who need to be involved.
- By when will the actions take place.
- What resources will be needed to carry out the change.
- How will the process be transparent and communicated?
- Periodic monitoring reports to leadership and management with updates to the plan as necessary.
- Determination of whether the plan resulted in the desired outcome, why or why not.

# SMART MODEL

In developing ACTION PLANS, consider:

 $\underline{S}$  – Specific – is the wording precise and unambiguous?

 $\underline{M}$  – Measurable – How will achievements be measured?

<u>A</u> – Action-oriented -Is an action verb used to describe expected accomplishments?

 $\underline{\mathbf{R}}$  – Realistic – Is the outcome achievable with given available resources?

 $\underline{T}$  – Time-sensitive – What is the time frame?

FEMA, Retrieved from https://www.fema.gov/nationalincident-management-system



# Appendix A - Summary of Knowledge, Skills and Abilities Core Competencies for Jail Leaders

#### **Core Competency 1:**

# Critical Thinking: anticipate, analyze and

**resolve organizational challenges and conflicts** *Description:* Use critical thinking skills, evidencebased practices, and information analysis to inform decision-making and address organizational problems; proactively identify pending crises or opportunities.

*Rationale*: Jail leaders must be able to not only chart their organization's future course, but also to navigate the present. Dealing with current organizational challenges must be predicated on a sound knowledge of the past and a clear vision of the future, including strategies for preventing organizational conflicts.

#### **Requires knowledge of:**

- Elements of critical thinking
- Organization's vision, mission and values
- Existing and potential organizational conflicts
- Organization's internal culture

#### **Requires skills to:**

- Accurately identifying jail's emerging organizational conflicts
- Gather information to analyze the conflicts
- Anticipate potential challenges and conflicts
- Effectively overcoming challenges and resolving conflicts
- Understand and managing the agency's internal culture
- Mentoring subordinates to engage in critical thinking and organizational self-analysis

#### **Requires abilities to:**

- Think critically to identify challenges and conflicts
- Maintain the confidence of the employees
- Instill a positive attitude within the organization
- Have the courage to lead change
- Be guided by my ethical moral compass
- Think logically and creatively
- Be proactive
- Involve internal stakeholders in problemsolving
- Be patient and persistent
- Avoid procrastination in addressing controversial issues



©2019 Center for Innovative Public Policies, Inc. Version 1.0

#### Core Competency 2:

#### Assure organizational accountability

*Description:* Direct the process for collecting ongoing feedback and assessing long-term outcomes; model self-accountability through words and actions.

*Rationale:* Jail leaders are ultimately accountability to the public, the inmate. This includes identifying, collecting, analyzing, and disseminating the data and information needed to assure accountability in a transparent method that provides a "report card" for stakeholders.

#### **Requires knowledge of:**

- Organizational mission, vision, and values
- Relevant data and information (e.g., jail operations, budget, staffing, inmate population, etc.)
- Evaluation and accountability strategies
- What key indicators stakeholders need to know to form an accurate assessment (i.e., the jail's "report card")

#### **Requires skills to:**

- Determining how to measure achievement of the organizational vision/mission
- Identifying and collecting relevant data and information in usable format
- Establishing a plan that will yield desired information
- Analyzing and disseminating relevant data and information
- Getting formal and informal feedback through a variety of approaches
- Articulating outcome measures to staff and stakeholders
- Using evidence-based outcomes to guide decision-making and resource allocation
- Implementing changes as indicated by outcome results to ensure accountability, (both individually and organizationally)

#### **Requires abilities to:**

- Identify outcome measures
- Operationalize outcome measures
- Analyze
- Evaluate impartially
- Be consistent
- Be a good role model
- Analyze and prioritize
- Be honest and forthright

#### Core Competency 3: Build and maintain positive relationships with external stakeholders

*Description:* Develop reciprocally beneficial external partnerships to achieve shared goals.

Rationale: Since jails are impacted by other criminal justice agencies, local and state policymakers, and community organizations, they cannot operate effectively without developing working relationships with such groups. Establishing linkages with these stakeholders not only assists with accomplishing the jail's mission, but also with managing related external forces over which jails have no direct control. Identifying and building such relationships is a two-way process for the mutual benefit of everyone involved.

#### **Requires knowledge of:**

- Stakeholders and their organizational missions as well as personal agendas
- How external partnerships can productively assist in accomplishing the jail's mission
- Positive advocacy techniques

#### **Requires skills in:**

- Identifying and nurturing relevant stakeholders
- Overcoming existing conflicts, and avoiding potential conflicts
- Understanding politics and stakeholder relationships
- Listening to the viewpoints and concerns of partners
- Identifying indicators of a successful partnership
- Collaborating with others
- Creating an MOA (memorandum of agreement)
- Repairing unproductive or ineffective partnerships
- Terminating unsuccessful partnerships
- Convincing others of the jail's needs
- Advocating for the jail's mission

#### Requires the abilities to:

- Appreciate stakeholders' mission
- See the "big picture" beyond organizational boundaries
- Demonstrate political awareness
- Assess external relationships
- Analyze
- Be objective
- Develop trust
- ©2019 Center for Innovative Public Policies, Inc. Version 1.0

Be persuasive

#### **Core Competency 4:**

# Build and maintain teamwork; mentor and coach others

*Description:* Work with staff to strengthen the team and members of it; lead by example; go beyond the expected to assure the success of all involved.

*Rationale:* Creating and nurturing a successful team takes thoughtful planning, time, energy, and ongoing attention. This includes building and maintaining teamwork through both formal and informal strategies, such as mentoring, role modeling, and other approaches to uniting the talents of a diversified workforce. Great teams are not accidental, but rather, are the product of great proactive leadership.

#### **Requires knowledge of:**

- Team-building processes
- Qualities of an effective team
- Workforce characteristics (e.g., gender, age, race, special skills, etc.)
- The values of diversity
- The stress associated with growth (dynamic tension)

#### **Requires skills in:**

- Analyze team members' strengths and weaknesses
- Role model and mentor subordinates
- Inspire and motivate subordinates
- Correct subordinates' behaviors which are out of line with mission/vision
- Understand needs (and utility) of a diverse workforce
- Help yourself and others learn from their mistakes
- Use adversity advantageously to contribute to your personal growth
- Establish programs and processes to improve teamwork and improve team's knowledge, skills, and abilities

#### Requires abilities to:

- Be approachable to all employees and to inmates
- Help others succeed
- Communicate effectively
- Be a role model
- Know your own strengths and weaknesses
- Analyze people and situations
- Be flexible

17



#### Core Competency 5: Communicate effectively, internally and externally

*Description:* Engage in written and oral communication that is clear, unambiguous, transparent, and consistent with the organizational vision, mission, and values.

*Rationale:* This competency is a fundamental component of each of the other twenty-one competencies. It includes effectively using all available means of communication (e.g., oral, written, electronic) to manage people, make decisions, and collect and disseminate information in a way that is appropriate, unambiguous, and mutually beneficial.

#### **Requires knowledge of:**

- What form of communication is most appropriate for the circumstances
- How communication can improve (or detract from) decision-making and organizational internal culture
- The power of communication and absence of communication The mechanics of professional oral and written communication

#### **Requires skills to:**

- Analyze situations accurately
- Know your audience
- Establish effective two-way communications with employees, inmates, external stakeholders
- Possess and demonstrate effective interpersonal communication (both verbal and nonverbal)
- Use professional language and etiquette in emails, text messages, memorandum, and on the telephone
- Write in a clear, concise manner
- Critique reports, policies, etc. to help assure subordinates communicate effectively
- Role model effective written and oral communication, body language
- Stimulate two-way dialogue

#### **Requires abilities to:**

- Write and orally communicate professionally
- Lead by example
- Be flexible in personal communications styles
- Encourage and use constructive feedback with subordinates
- Analyze the impact and effectiveness of my personal communications



©2019 Center for Innovative Public Policies, Inc. Version 1.0

- Listen without interrupting or judging
- Demonstrate impartiality in personal communication

#### **Core Competency 6:**

# Fiscal Management Comprehend, obtain and manage fiscal resources

*Description:* Understand the larger financial picture, as well as obtain the fiscal resources needed to achieve organizational goals, allocating resources in a manner that supports the organizational vision, mission, and values.

*Rationale:* Not only must jail leaders comprehend specifics of the budgeting process, but they must also be able to assure that the jail has sufficient financial resources to fulfill its mission by obtaining stakeholder support. This includes not only assessing what resources are needed, but also managing them in a cost-effective manner by effectively prioritizing, eliminating waste and duplication, identifying alternatives to supplement the budget, and making reductions as necessary.

#### **Requires knowledge of:**

- Revenue sources and how to influence them
- The budgetary process and related fiscal procedures
- Current and projected fiscal needs of the organization

#### **Requires skills to:**

- Deal with the local funding authority
- Issue and monitor contracts
- Obtain non-traditional funding (e.g., grants)
- Interpret the administrative regulations governing fiscal matters
- Develop and justify the organizational budget
- Monitor and amend the organizational budget
- Using fiscal tools (e.g., electronic spreadsheets, accounting techniques, financial statements)
- Long-range planning
- Identify factors that will impact future budgets
- Distinguish between "needs" and "wants"

## Requires abilities to:

- Interact with and influence "holders of the purse-strings"
- Analyze
- Be creative
- Be persuasive
- Interpret fiscal data
- Objectively project fiscal needs
- Think critically

#### Core Competency 7: Develop and maintain a positive organizational culture that promotes respect for diverse staff

*Description*: Asses the agency's internal culture, assure that it is aligned with the mission, vision, and values; work to change culture as necessary; support culture that moves toward identified organizational goals.

*Rationale:* Managing a diverse workforce, (and inmate population), requires considerable effort to create "one out of many." This includes identifying, developing, and maintaining an organizational culture that values diversity, embraces change, promotes a positive approach, and is consistent with the organization's vision, mission, and values.

#### **Requires knowledge of:**

- The components of an organization's internal culture
- The jail's current internal organizational culture
- How to change organizational culture

#### Require skills to:

- Assess the jail's current organizational culture(s)
- Mentor subordinates and peers regarding elements of internal culture
- Help subordinates and peers understand their roles of their contributions to the internal jail culture
- Determine the elements of internal culture that are in conflict with the jail's mission, vision and values
- Recognize the attributes contribute to the jail's positive organizational culture
- Identify any gaps between the desired and the jail's current internal culture
- Understand diverse interests and motivations of jail staff
- Encourage staff to set aside differences and unite in pursuit of common goals
- Promote teamwork
- Identify benchmarks to assess impact of culture initiatives
- Reinforce, operationalize, and keep organization aligned with the agency's vision, mission, and values

#### **Requires abilities to:**

- Analyze internal culture accurately
- Maintain objectivity in assessing culture

©2019 Center for Innovative Public Policies, Inc. Version 1.0

- Maintain tenacity in addressing how changes, if needed, are pursued
- Be respectful of the views of others, even if they disagree with you
- Demonstrate courage in facing culture challenges
- Be a role model

#### Core Competency 8: Develop and sustain organizational vision/mission

*Description:* Establish a long-range purpose for the organization and provide overall direction and guidance for achieving its mission and measuring success.

*Rationale:* This competency establishes the basis for pursuing all others. It clarifies the essence of the organization's existence by creating, implementing and maintaining the jail's vision and mission through participatory consensus-building with staff and stakeholders.

#### **Requires knowledge of:**

- Techniques for developing and updating a vision/mission statement
- How to operationalize a vision/mission statement and create measures of success
- Productive use of feedback
- Strategic planning

#### **Requires skills to:**

- Collaboration and teamwork
- Consensus-building
- Forecasting
- Action planning
- Following through
- Identifying appropriate benchmarks
- Implementing change
- Listening
- Making modifications as needed
- Anticipating potential obstacles
- Keeping the vision alive
- Reinforcing the vision

#### Requires ability to:

- Be visionary
- See different points of view
- Maintain a passion for the vision
- See the "big picture"
- Be courageous
- Keep organized and on track
- Evaluate
- Be patient and persistent
- Obtain constructive feedback
- Be flexible



- Be well-organized
- Maintain a passion for the vision

#### Core Competency 9: Engage in Strategic Planning

*Description*: Proactively analyze emerging trends, anticipate organizational needs, and develop strategies for meeting them.

*Rationale:* Effective leadership, management, and accountability requires short and long-range planning and adjusting operations. This includes maintaining a comprehensive foundation of information, collecting and analyzing relevant data, and working with community stakeholders.

#### **Requires knowledge of:**

- National, state, and local trends that may impact the jail and the local justice system.
- The resources which provide data, information, and trends that may impact the jail and the justice system.
- The relationship between the long-range trends and the jail's budget.
- The strategic planning process.

#### Requires skills to:

- Lead efforts in long-range planning.
- Forecast future trends.
- Synthesizing numerous and diverse sources of information to benefit short and long range planning.
- Applying information and data from other jails and outside disciplines to one's own agency.
- Mentor peers and subordinates regarding their roles in strategic planning.
- Collaborating with external stakeholders.
- Gaining consensus, internally and externally, for the jail's strategic plan.
- Make necessary adjustments to the plan based on feedback and environmental changes.

#### **Requires ability to:**

- See the "big picture" of data, trends, initiatives, and policies beyond the jail.
- Analyze emerging trends in the public and private sector.
- Read voraciously; encourage subordinates to do the same.
- Analyze, translate, and apply complex information to the jail's future.
- Visualize the jail's future.
- Assure operations align with the strategic plan.
- Recognize the plan's potential impact on stakeholders and seek partnerships.

• Think critically about the jail and the local justice system.

#### Core Competency 10: Enhance self-awareness; maintain proactive professional commitment

*Description:* Identify personal strengths and weaknesses; make use of professional resources (e.g., technical assistance, research reports, professional organizations, peer reviews, networking, etc.).

*Rationale:* Before anyone can effectively lead others, he or she must have sufficient insight into their own strengths and weaknesses, especially in terms of how they potentially impact their staff. Leaders must be willing to take an objective and in-depth look at themselves and be willing to change.

#### **Requires knowledge of:**

- Theories of human behavior; organizational dynamics
- One's own strengths and weaknesses
- How to engage in personal professional development

#### **Requires skills to:**

- Obtain and use objective feedback about my leadership from peers and subordinates
- Engage in introspection and self-insight; knowing thyself
- Be able to see yourself and your actions from the perspective of others
- Build on personal strengths and address weaknesses
- Assess personal biases
- Maintain personal integrity
- Actively engage in self-improvement
- Keep current with changes in the field
- Network with peers, stakeholders, community

#### Requires abilities to:

- Be insightful about personal strengths and weaknesses
- Analyze personal behavior
- Personally change; commit to grow
- Avoid being defensive
- Participate in activities that enhance selfawareness
- Have the courage to admit being wrong
- Adhere to values
- Maintain humility



©2019 Center for Innovative Public Policies, Inc. Version 1.0

# Core Competency 11: Establish organizational authority, roles, and responsibilities

**Description:** Assure that duties and responsibilities are properly distributed throughout the organization, along with the authority necessary to fulfill them, in order to promote smooth, efficient functioning within legal parameters.

**Rationale**: Jails do not run themselves. While the leader's job does not extend to day-to-day operational management, it is the leader's responsibility to develop an overall organizational structure that ensures effective functioning, complies with relevant laws, establishes clear lines of authority and accountability, and ultimately, promotes fulfillment of the jail's vision and mission.

#### **Requires knowledge of:**

- Characteristics of an effectively functioning organization
- Local, state, and federal laws and court decisions
- Organizational concepts (e.g., authority, delegation, chain of command, span of control, etc.)

#### **Requires skills to:**

- Assess organizational needs and priorities based on the jail's vision/mission
- Develop and implement a table of organization
- Determine the knowledge, skills, and abilities needed for the jail's posts/positions
- Identify accountability and performance measures for employees
- Use the strengths of peers and subordinates to enhance jail operations
- Implement laws, court decisions, and legal mandates
- Apply organizational concepts to jail administration
- Mentor peers and subordinates to fulfill their organizational responsibilities
- Develop benchmarks to assess effective organizational strategies
- Requires abilities to:
- Be visionary
- Provide feedback to encourage positive changes in the behaviors of peers and subordinates
- Analyze the needs of the jail
- Understand people
- Operationalize plans
- Maintain professionalism



©2019 Center for Innovative Public Policies, Inc. Version 1.0

- Interpret laws and court cases
- Translate theory into practice

#### Core Competency 12: Leverage the role of the jail in the criminal justice system

*Description:* Maintain outcome-focused interaction with other components of the justice system to identify and resolve problems and advance the vision/mission of the jail.

Rationale: Because jails are so profoundly affected by operations of other agencies throughout the criminal justice system, they cannot afford to engage in autonomous policy development or decision-making. Yet all too often, local jails operate as an island, trying to independently solve system-created problems. Local jail leaders need to take the initiative to establish system-wide collaboration. This calls for understanding the interdependent relationships between components of the justice system and developing working relationships that minimize the negative impact of other agencies' actions while maximizing creative synergy among them.

#### Requires knowledge of:

- The local, state, and federal justice systems, community attitudes, and public perceptions
- Local jail stakeholders
- Effective partnerships/collaborations to benefit the jail
- How the jail impacts, and is impacted by, other components of the local, state and federal justice systems

#### Requires skills to:

- Gather relevant information
- Analyze interrelationships of policy, law and case law developments
- Take the "pulse" of the community
- Analyze how system-wide interactions reciprocally affect the jail
- Educate peers and subordinates in their roles to develop, participate and nurture partnerships
- Develop and maintain productive, collegial working relationships across disciplines and with relevant community organizations
- Create a supportive community network to support jail and justice system operations
- Negotiate and build consensus with relevant stakeholders
- Collect and use evidence-based data and information

#### **Requires abilities to:**

- View the justice system and related agencies from a comprehensive, community-wide perspective
- Work collaboratively; be a good partner
- Understand the local, state and federal political environment
- Be flexible and compromise
- Remain tenacious in pursing justice initiatives
- Think critically and analytically
- Know when to ask for help

#### Core Competency 13: Make Sound Decisions

*Description:* Establish a decision-making style that is firm, fair, data-driven and consistent, aligned with the organization's mission/vision/values; appreciate the impact of decisions on those in and outside of the organization.

*Rationale:* Jail leaders are responsible for the decisions they make, as well as for the decisions made by their subordinates. This includes making decisions in a manner that ensures organizational accountability, as well as consistency with policies, procedures, and effective leadership practices. It likewise means making decisions within legal guidelines, in concert with the jail's vision/mission, and in accord with positive trends in jail management.

#### **Requires knowledge of:**

- Organizational vision/ mission, policy, procedure, and accountability measures;
- Laws and legal guidelines, accreditation standards, relevant state standards; administrative regulations
- Decision-making theories and techniques

#### **Requires skills to:**

- Assure that decisions are transparent and made within the parameters of the jail's policies and procedures
- Use decisions to reinforce accountability, provide positive examples, and complement the vision/mission
- Assure that decisions are made legally, in a manner that reinforces relevant operating and administrative standards
- Recognize when to use various decisionmaking techniques (e.g., consultation, delegation, unilateral, etc.)
- Gather sufficient information to make an informed decision

- Proactively anticipate reactions to and impact of decisions
- Justify potentially unpopular decisions

#### **Requires abilities to:**

- Think logically
- Appreciate the effect of decisions on others
- Analyze complex material
- Select the appropriate decision-making technique for the situation
- Appreciate the effect of decisions
- Be empathetic
- Be a good role model

#### **Core Competency 14: Manage change**

*Description*: Progressively implement change through strategies that encompass relevant stakeholders.

*Rationale:* Because change is so discomforting, it is often feared and resisted. Effectively implementing change, therefore, includes awareness of how change impacts organizational and human dynamics, including understanding the change process itself, as well as how to implement change in a manner designed to ensure sustainability.

#### **Requires knowledge of:**

- Indicators that change may be needed
- What stakeholders will be affected by the change
- Techniques for implementing and evaluating the change process

#### **Requires skills to:**

- Process information from various sources
- Assess current operations
- Obtain valid feedback
- Develop and analyze alternatives
- Think analytically
- Anticipate reactions
- Generate momentum for the change; selling the idea
- Build support; get "buy-in" from those who will be most affected
- Encourage people to relinquish old habits
- Select the most appropriate strategy for the situation
- Assure that the change is sustained over time
- Analyze effects and objectively evaluating outcomes

#### **Requires abilities to:**

• Proactively anticipate the need for change



©2019 Center for Innovative Public Policies, Inc. Version 1.0

- Make appropriate conclusions from a wide array of information
- Analyze information
- Demonstrate empathy
- Build teams
- Generate consensus
- Listen
- Maintain energetic passion
- Be tenacious
- Use feedback to make modifications
- Persevere

#### Core Competency 15: Manage employee and labor relations

*Description:* Work collaboratively with unions/employee organizations and effectively manage collective bargaining agreements.

*Rationale:* Clear communication, well-defined regulations, and consistent application of rules are integral to good management in any work environment, and with collective bargaining agreements, they are even more essential. Even if a jail does not have a unionized workforce, managing employee issues, grievances, and concerns is a critical competency of the leader. These efforts include understanding the collective bargaining process, related laws, and the implementation of bargaining agreements in order to promote effective personnel management and positive labor/management relationships.

#### **Requires knowledge of:**

- Laws governing labor relations, administrative regulations, and the jail's collective bargaining agreements
- Collective bargaining process, and strategies to address workforce issues
- Issues and concerns of the jail's workforce and the characteristics of a healthy workplace
- Local political environment relative to the jail's workforce

#### **Requires skills to:**

- Interpret and understanding legal documents, rules governing human resource management
- Analyze the impact of bargaining agreements, and/or other workforce agreements
- Negotiating with employees, and/or their representatives regarding employees' issues and concerns
- Collaborate to identify common-ground
- Build supportive coalitions with the workforce
- Use effective interpersonal communications



©2019 Center for Innovative Public Policies, Inc. Version 1.0

to identify workplace issues

- Engage in effective strategies to avoid confrontation
- Mentor peers and subordinates regarding their role in maintaining a healthy workplace
- Understand the local political environment

#### Requires abilities to:

- Assess the motives and communications of others
- Open and maintain lines of communication during stressful times
- Understand long-term implications of workforce issues, employee grievances, needs of workforce
- Be firm, fair, and consistent
- Remain diplomatic
- Demonstrate patience
- Keep connected to the local political environment

#### Core Competency 16: Manage power and influence

*Description:* Understand the organization's history and political climate; use the political process (internal/external and official/unofficial) to accomplish goals; understand the impact of your use of power on the organization and the community.

*Rationale:* While a certain degree of power accompanies the position of jail leader, the ability to use it effectively and in a responsible manner is a personal attribute. It is especially important that jail leaders understand both the limits and the widespread impact of their power and influence on internal operations as well as external stakeholders.

#### Requires knowledge of:

- The nature of power and influence, and the legacy of how misuse of power has affected an organization
- The agendas of those with external power and influence (e.g., policy-makers, politicians, community leaders, unions, and others who impact jail management and operations)
- How I use personal power in this job

#### **Requires skills to:**

- Identify your formal/ informal sources of power
- Recognize how power can be used to support the jail's vision/mission
- Use power with external stakeholders and the community

- Recognize what mistakes were made regarding the use of power in the past and avoid repeating them
- Understand why the use of unilateral position-based power is often resented and counterproductive
- Network; keep abreast of the current political climate (without becoming consumed by it)
- Use effective interpersonal communications, especially non-evaluative listening
- Mentor peers and subordinates in their use of power
- Understand and influence various agendas of other power brokers who impact the jail

#### Requires abilities to:

- Be comfortable with using power and influence
- Weigh alternatives for using power
- Learn from past mistakes
- Avoid over-reliance on unilateral power
- Be perceptive and accessible
- Listen and communicate in a nonconfrontational manner
- Respect power without being intimidated by it
- Be confident and persuasive

#### Core Competency 17: Manage time

*Description:* Set an agenda that includes realistic deadlines, defines priorities, and addresses critical issues promptly.

*Rationale:* Because so many issues are competing for the jail leader's attention, it is essential to establish priorities in order to avoid becoming consumed by the job, and to allow the personal time needed to decompress. This includes setting and meeting deadlines, delegating when appropriate, and avoiding the temptation to try to "do it all" at once.

#### **Requires knowledge of:**

- Tools and techniques for efficient time management
- Internal and external priorities
- The importance of a balanced lifestyle and how to achieve it

#### Requires skills to:

- Recognizing time management weaknesses (e.g., procrastinating)
- Remaining focused and on-task
- Delegating appropriately and inspiring others
- Multi-tasking



©2019 Center for Innovative Public Policies, Inc. Version 1.0

- Employing technology to enhance efficiency
- Understanding the priorities of one's supervisor as well as influential stakeholders
- Determining a timetable for achieving organizational goals
- Establishing priorities
- Setting and meeting deadlines
- Self-awareness; realizing when you're stretched too thin
- Developing positive, healthy coping techniques
- Proactively reducing sources of stress in the work environment
- Assuring time for yourself and your family

#### **Requires abilities to:**

- Be honest with yourself
- Avoid distractions
- Feel comfortable delegating
- Prioritize
- Maintain time sensitivity
- Analyze
- Think strategically
- Balance conflicting priorities
- Balance one's personal and professional life
- Avoid burnout
- Make time for yourself
- Be aware of creating stress for others
- Serve as a role model

#### Core Competency 18: Obtain and manage human resources

*Description:* Obtain the human resources needed to achieve organizational goals, allocating them in a manner that aligns with the approved budget, supports the jail's vision, mission, and values; assess employee strengths/weaknesses; properly delegate authority.

*Rationale:* Since a majority of the operating budget of most jails are expenditures on staff salaries and benefits, recruiting, retaining, and developing competent personnel are significant priorities. Doing so requires insights concerning human resource issues, both nationally and locally. Moreover, assuring a sufficient quantity and quality of well-trained and highly motivated staff is fundamental to safe and secure jail management, administration, and operations.

#### **Requires knowledge of:**

- The jail's staffing needs based on objective analysis, and relationship to the approved budget
- Local, regional, and state

employment/economic conditions

- Laws, administrative regulations, bargaining agreements, and policies related to human resources (e.g., ADA, FMLA, etc.)
- Processes to evaluate employee performance, and retention strategies to retain the jail's workforce

#### Requires skills to:

- Effectively collaborate with the human resources functions (controlled by the jail <u>or</u> not)
- Understand staffing analysis, mandated posts, related staffing responsibilities, and associated training needs
- Assess human resources processes (e.g. hiring process, length of time of hiring process, screening) and the impact on hiring and retention
- Determine the impact of employment markets on the jail's ability to recruit and retain staff
- Collect and analyze the jail's employee data (e.g. gender, race, ethnicity, generation); develop action plans as necessary
- Advocate for positions and staff salaries/benefits, as needed, with the funding authority
- Align the organization's decision-making related to human resources with relevant regulations and laws
- Convey expectations and assess employee performance using valid job requirements
- Align staffing with the budget, including use of overtime
- Hold employees accountable, and use remedial plans for inadequately-performing staff

#### **Requires abilities to:**

- Objectively analyze and prioritize staffing needs
- Maintain a commitment to staff training, and succession planning
- See the "big picture" in terms of the jail's position as an employer of choice in the community
- Make fair selection, retention, disciplinary, and other human resource decisions on the basis of applicable legal rules and regulations
- Match performance measures with job requirements
- Uphold ethical standards (on and off the job

#### **Core Competency 19:**

#### **Oversee inmate and facility management**

*Description:* Assure that inmates are receiving proper care, custody, and control according to professional and Constitutional standards, as well as appropriate treatment programming.

*Rationale:* This competency relates to the core mission of local jails. Everything else—from fiscal considerations to use of power, decision-making, team-building, liability concerns, accountability measures, etc.—essentially supports this endeavor. As such, it not only embraces the laws, court cases, policies/procedures, research findings, and industry standards guiding jail operations, but also the good faith of jail leaders to move the field forward in progressive directions based on evidence-based practices.

#### Requires knowledge of:

- Relevant state and federal laws, court orders, case law, accreditation and health care standards, fire codes, and other policies and procedures governing facility management
- How to translate legal/administrative regulations into operational practices
- Evidence-based practices for jails
- Inmate treatment and community reintegration needs, along with relevant response strategies

#### **Requires skills to:**

- Synthesize information from a wide variety of sources
- Keep current with relevant legal and administrative regulations
- Engage in timely review and revision of policies and procedures
- Establish accountability measures for operational functions
- Assure that staff are educated regarding legal/administrative requirements
- Mentor peers and subordinates in their roles
- Establish evidence-based treatment programs to meet inmate needs
- Acquire resources and develop external partnerships to meet inmate needs
- Oversee successful inmate transition back to the community
- Maintain relationships with community stakeholders and treatment providers **Requires abilities to:**

#### Requires abilities to:

- Read, interpret, and analyze legal documents
- Ensure organizational accountability



- Translate complex concepts into operational procedures
- Recognize the jail's role in reducing recidivism
- Research and implement effective treatment programs
- Demonstrate concern about inmate needs and transitional capabilities

## Core Competency 20:

#### **Oversee physical plant management**

*Description:* Assure that the physical plant is in proper condition to assure the safety of inmates, employees, and the public.

*Rationale:* As the overall facility administrator, it is the jail leader's job to assure that the physical plant is in proper operating condition, to determine when it is necessary to obtain assistance for repairs or preventive maintenance, to plan ahead for projected structural needs, and to ensure that the physical plant will be viable for the anticipated life of the building.

#### **Requires knowledge of:**

- Structural features of jail facilities (e.g., schematics, utility maps, life safety systems)
- Emergency/evacuation plans in response to a fire, riot, or natural disaster (e.g., hurricane, tornado, earthquake, flood, etc.)

#### **Requires skills to:**

- Interprete blue prints, maintenance plans, etc.
- Conducting physical plant inspections
- Establishing preventive maintenance plans
- Overseeing capital planning when new construction is needed
- Assuring that necessary repairs are made in a timely manner
- Assessing facility capabilities in relation to inmate needs
- Holding staff accountable
- Collaborating with supportive public safety partners
- Assessing the adequacy of emergency plans and facility preparedness
- Writing policies governing the jail's response to emergency conditions

#### **Requires abilities to:**

- Evaluate integrity and sustainability of the jail's physical features and infrastructure
- Delegate as necessary to maintenance staff
- Gather information from staff and inmates
- Be proactive
- Establish mutual aid partnerships
- Analyze information

©2019 Center for Innovative Public Policies, Inc. Version 1.0

- Be decisive
- Establish an organizational culture where safety is the top priority

#### Core Competency 21: Reduce jail-related liabilities

*Description:* Oversee risk management initiatives to reduce agency vulnerability and assure compliance with state/national standards, laws, and case law.

*Rationale:* Jails are guided by Constitutional mandates and case law, and thus, can be a focus for litigation for liability lawsuits and civil rights claims. Litigation is costly and time consuming, but can be reduced with well-designed policies, training, accountability, and diligent operational oversight. This includes understanding current case law and industry standards, training staff accordingly, and assuring that operations align with organizational policies and procedures.

#### **Requires knowledge of:**

- Case law, relevant legislation, court orders, and state/ national standards, evidence-based jail practices
- Strategies for reduce risk and the techniques to proactively limit liability

#### Requires skills to:

- Understand how legal/administrative standards apply to jail operations
- Assess whether jail policies and procedures comply with mandates; (if not, taking corrective action)
- Produce clear, concise policies that reflect current legal standards, especially in high-liability areas (e.g., use of force); train staff
- Know national litigative trends to determine what can be adopted for the jail
- Identify areas of the jail's legal vulnerability(is)
- Engage employees to identify how liability could be reduced
- Develop a process for documenting and following-up personnel actions related to risk reduction
- Encourage staff and inmates to communicate openly with administrators
- Assure a valid inmate grievance process is in place and that the data informs operational decisions
- Mentor peers and subordinates in their role in risk assessment and corrections
- Respond appropriately and in a timely manner to inmate complaints



26

#### **Requires abilities to:**

- Analyze legal documents
- Assess if employee training improves operations
- Translate legal concepts into operational practices
- Conceptualize the options to reduce risk
- Anticipate the outcome of emerging trends
- Think creatively
- Use proactive preparedness to reduce liability

#### **Core Competency 22:**

**Understand and manage emerging technology** *Description:* Remain aware of emerging technological innovations and their potential organizational applications.

*Rationale:* As part of their responsibility to visualize future organizational needs, leaders need to keep abreast of the rapidly changing array of jail-related technology and how it can be used to improve operations. Being an informed consumer of expensive technology is important to assure that funds are spent efficiently and cost-effectively. This includes identifying subject matter experts to evaluate, test, and assess new technology, as well as building a level of personal understanding of relevant hardware, software, and security devices to make knowledgeable procurement decisions.

#### **Requires knowledge of:**

• Basic computer skills used in the industry

- Laws pertaining to data sharing data systems currently in use by internal and external partners
- Jail-related technology (e.g., phone recording, video visitation, equipment for attorneys, door control systems, cameras, CED technology, telemedicine, inter-connectivity with other agencies, etc.)

#### **Requires skills to:**

- Developing basic computer expertise in hardware, software, and networks
- Interpreting legal restrictions
- Collaborating with partners to implement shared integrated data systems
- Researching the capabilities of technology
- Understanding strengths and weaknesses of products before purchase
- Developing strong, positive relationships with IT staff

#### **Requires abilities to:**

- Analyze and evaluate
- Adapt
- Establish partnerships
- Overcome impediments to data-sharing
- Pursue unfamiliar territory
- Visualize what you want to achieve
- Make systematic comparisons
- Distill complex information
- Trust others with greater subject matter expertise
- Maintain the capacity for continued personal growth



## Appendix B

My Own Assessment - Read the skills, knowledge and abilities associated with each of the twenty-two core competencies. By placing a checkmark in the appropriate column, rate your competence on a 1 - 5 scale; 1 - no experience, 5 - an expert. At the end of each section - count the checkmarks and place that number in the appropriate "total" column. Transfer this information to the SUMMARY. **Be** honest with yourself, or the ratings won't mean much in your leadership journey. NEXT DEVELOP YOUR LEADERSHIP STRATEGIES BASED ON YOUR RESULTS. SEEK TRAINING, COLLEGE COURSE WORK, MENTORS, ATTEND CONFERENCES, ASK FOR HELP.

What's next? Use the information to plot your own leadership development path. Review the articles describing the core competency for more information and resources.

# **Definitions:**

- *Knowledge ("know")*: A body of information needed to perform one's job–e.g., administrative practices, accounting principles, correctional law.
- *Skill ("do")*: A function acquired over time, with practice, until expertise is developed. Often involves the application of knowledge; e.g., report-writing, hostage negotiation, motor vehicle operation.
- *Ability ("innate")*: Inherent talent or aptitude–a person's "genetic tools"; e.g., IQ, empathy, patience.



#	Competency	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
1	Anticipate, analyze and resolve organizational challenges and conflicts.					
2	Assure organizational accountability.					
3	Build and maintain positive relationships with external stakeholders.					
4	Build and maintain teamwork; mentor and coach others.					
5	Communicate effectively, internally and externally.					
6	Comprehend, obtain and manage fiscal resources.					
7	Develop and maintain a positive organizational culture that promotes respect for diverse staff.					
8	Develop and sustain organizational vision/mission.					
9	Engage in strategic planning.					
10	Enhance self-awareness; maintain proactive professional commitment.					
11	Establish organizational authority, roles, and responsibilities.					
12	Leverage the role of the jail in the criminal justice system.					
13	Make sound decisions.					
14	Manage change.					
15	Manage labor relations.					
16	Manage power and influence.					
17	Manage time.					





#	Competency	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
18	Obtain and manage human resources.					
19	Oversee inmate and facility management.					
20	Oversee physical plant management.					
21	Reduce jail-related liability risks.					
22	Understand and manage emerging technology.					
	Totals					

There are 20 elements of each core competency rating. Examine those for which you scored yourself lower than others.

Develop your own self-improvement plan.

Use the information in the articles about each core competency noted on page 5.



1	Critical Thinking: anticipate, analyze and resolve organizational challenges and conflicts.	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Elements of critical thi	nking					
My jail's mission, visio	n and values					
Existing and potential	organizational conflicts					
My jail's internal cultu	re.					
Skills:						
Accurately identifying	the jail's emerging organizational conflicts					
Gather information to	analyze the conflicts					
Anticipate potential ch	allenges and conflicts					
Effectively overcoming	challenges and resolving conflicts					
Understand and mana	ge the agency's internal culture					
Mentoring subordinate analysis	es to engage in critical thinning and organizational self-					
Abilities:						
Think critically to iden	tify challenges and conflicts					
Maintain the confidence	ce of employees					
Install a positive attitu	de within the organization					
Have the courage to lea	ad change					
Be guided by my ethica	al moral compass					
Think logically and cre	atively					
Be proactive						
Involve internal stake	Involve internal stakeholders in problem-solving					
Be patient and persiste	Be patient and persistent					
Avoid procrastination	Avoid procrastination in addressing controversial issues.					
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



2	Assure organizational accountability	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
My organization's mis	sion, vision, and values					
	ormation (e.g. jail operations, budget, staffing, inmate sess the organization's performance					
Evaluation and accour	ntability strategies and techniques					
Evidence-based practi	ces for jail management					
Key indicators your st assessment of the jail	akeholders need to know to form an accurate					
Skills:						
Understand what and	how my bosses will hold me accountable					
Educate and achieve b	uy-in from employees for organizational accountability					
Determine how to mea mission/vision and va	asure performance against the organization's lues					
	at will yield needed and accurate information					
Identify and oversee a	nd/or collect relevant data and information					
Analyze and dissemina	ate relevant information and data					
Articulate outcome me	easures to external stakeholders and funders					
Encourage and mento	r subordinates to engage in critical self-analysis					
Use evidence-based or allocation	utcomes to guide decision-making and resource					
Abilities:						
Identify outcome mea	sures					
Understand and analy	ze data					
Consistently apply dat	a					
Role model use of data	a to inform decisions					
Hold subordinates acc	Hold subordinates accountable inspiring them to improve					
Prioritize activities ba	sed on data					
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



3	Build and maintain positive relationships with external stakeholders	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Stakeholders and their	organizational missions					
How external partnersh mission/vision	ips can assist the jail with accomplishing					
The local political envir	onment (issues, people, processes).					
Advocacy techniques						
Skills:						
Identify and nurture rel	evant external stakeholders					
Overcome existing conf stakeholders	licts, and avoid potential conflicts with external					
Understand local politic	al and stakeholders' relationships					
Listen to the viewpoints	s and concerns of partners/stakeholders					
Identify indicators of a s	successful partnership					
Collaborate with the co	mmunity					
Repair unproductive or	ineffective partnerships; or terminate if necessary					
Inspire subordinates to	work with partners/stakeholders					
Advocate for the jail's n	eeds/mission.					
Abilities:						
Appreciate stakeholder	s' missions.					
See the "big picture" be	yond the jail's needs					
Demonstrate political awareness						
Be a collaborative partner with others						
Analyze needs for partnerships						
Develop trust with community and stakeholders						
Be persuasive in public settings						
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



4	Build and maintain teamwork; mentor and coach others	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Team-building processe	es and strategies					
Qualities of an effective	team					
Workforce characterist	ics (e.g. gender, age, race, special skills, etc.)					
The values of diversity						
The stress associated w	ith growth and change					
Skills:						
Analyze team members	' strengths and weaknesses					
Role model and mentor	subordinates					
Inspire and motivate su	bordinates					
Correct subordinates' b mission/vision	Correct subordinates' behaviors which are not consistent with agency mission/vision					
Understand needs of a d	liverse workforce					
Learn from your mistak	es					
Use adversity advantag	eously to continue personal growth					
Establish programs and knowledge, skills and a	processes to improve teamwork and team's pilities					
Abilities:						
Be approachable						
Help others succeed						
Communicate effectively						
Be a role model						
Know your own strengths and weaknesses						
Analyze people and situations						
Be flexible.						
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



5	Communicate effectively, internally and externally	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
What form of communi	cation is most appropriate for different circumstances					
How communication ca	n improve decision-making and organizational culture					
The power of communi	cation; or the absence of communication					
The mechanics of profe	ssional oral and written communication					
Skills:						
Analyze situations accu	rately					
Know your audience						
Establish effective two-	way communications					
Possess and demonstra non-verbal).	te effective interpersonal communications (verbal and					
Use professional langua and on the telephone	ege and etiquette in emails, test messages, memoranda,					
Write in a clear and con	icise manner					
Critique reports, policie effectively	es, etc. to help assure subordinates communicate					
Role model effective wr	ite and oral communication, and body language.					
Simulate two-way dialo	gue					
Abilities:						
	cate in writing and orally					
Lead by example						
Be flexible in personal communication style						
Encourage and use constructive feedback with subordinates						
Analyze the impact and effectiveness of my personal communication						
Listen without interrupting						
Demonstrate impartiali	ty in personal communications.					
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



6	Comprehend, obtain and manage fiscal resources	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Revenue sources and	how to influence					
The budget processes	s, requirements, and related fiscal procedures					
Standard governmen	t accounting practices					
Cost/benefit analysis						
Skills:						
Collaborate effectivel	y with others					
Assure effective contr	ract management					
Obtain non-traditiona	al funding (e.g. grants) to support jail operations					
Interpret the adminis	trative regulations governing fiscal requirements					
Develop and justify th	ne organization's budget					
Monitor and amend t	he organization's budget					
statements/audits)	preadsheets, accounting tools, interpret fiscal					
-	vill impact future budgets					
Distinguish between	"needs" and "wants"					
Abilities:						
Hold subordinates ac	countable for funds budgeted to the jail					
Interact with and infl	uence funders					
Appreciate/understa the jail's budget	nd local budget and fiscal issues and the impact on					
Analyze budget needs	5					
Be creative in use of l	imited resources					
Be persuasive in advo	ocating for the jail's fiscal needs.					
Interpret and analyze	budget and fiscal data.					
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



7	Develop and maintain a positive organizational culture that promotes respect for diverse staff	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
The elements that comp	orise an organization's internal culture					
The jail's current intern	al organizational culture					
How to change organiza	ational culture					
Skills:						
Assess the jail's current	organizational culture(s)					
Mentor subordinates ar	nd peers regarding elements of internal culture					
Help subordinates unde	erstand their roles and contributions to internal culture					
Determine the elements mission, vision and valu	s of internal culture that are in conflict with the jail's les					
Recognize the attribute	s that contribute to the jail's positive culture					
Identify gaps between t	he desired culture existing internal culture(s)					
Understand diverse inte	erests and motivations of staff					
Encourage staff to set as	side difference and unit in pursuit of common goals					
Identify benchmarks to	assess impact of culture improvement initiatives					
Promote teamwork						
Reinforce, operationaliz	ze and align culture to mission, vision and values					
Abilities:						
Accurately analyze in	ternal culture					
Maintain objectivity i	n assessing culture					
Maintain tenacity in a pursued	addressing how changes to culture, if needed, are					
Be respectful of the w	vith you of others, even if they disagree with you					
Demonstrate courage	e in facing internal culture challenges					
Be a role model						
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



8	Develop and sustain organizational vision/mission	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
statements	es to develop, maintain, and update vision/mission					
How to operationaliz	e a vision/mission statement					
Involving external sta mission/vision	akeholders in developing/updating the jail's					
Skills:						
Collaborate with inte	rnal and external stakeholders					
Involve employees ar	nd stakeholders to update mission/vision					
Build internal consen	sus regarding the issues faced by the jail					
Identify emerging thr	reats and opportunities to the jail's mission/vision					
Develop action plans	to achieve mission/vision					
Follow through with	subordinates, stakeholders					
Identify appropriate	benchmarks to measure success					
Update mission/visio	n as necessary					
Mentor peers and sub mission/vision.	oordinates regarding their role in operationalizing					
Reinforce the vision t	hrough your words and actions					
Abilities:						
Be a visionary, courag	geous leader					
See different points o	f view					
Maintain a passion fo	r the vision					
See the "big picture"						
Be patient and persis	tent					
Seek constructive feedback						
Be flexible						
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



9	Engage in strategic planning	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
National, state, and loca system	l trends that may impact the jail and the local justice					
and the justice system	ovide data, information, trends, and ideas for the jail					
The relationship betwee	en the long-range trends and the jail's budget					
The strategic planning p	process					
Skills:						
Lead efforts in long-ran	ge planning					
Forecast future trends						
Synthesize numerous as long range planning	nd diverse sources of information to benefit short and					
jail operations	ta from other jails and outside disciplines and apply to					
Mentor peers and subor	rdinates regarding their roles in strategic planning					
Collaborate with extern						
	lly and externally, for the jail's strategic plan					
Make necessary adjustr environment	nent to the plan based on feedback and changes in the					
Abilities:						
See the "big picture" of	data, trends, initiatives, policies beyond the jail					
Analyze emerging trend	ls in the public and private sector					
Read voraciously; enco	urage subordinates to do the same					
Analyze, translate, and	apply complex information to the jail's future					
Visualize the jail's futur	e					
Assure operations align	with the strategic plan					
Recognize the plan's po	tential impact on stakeholders and seek partnerships					
Think critically about th	ne jail and the local justice system.					
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



10	Enhance self-awareness; maintain proactive professional commitment	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Theories of human be	ehavior; organizational dynamics					
One's own strengths	and weaknesses					
How to engage in per	sonal professional development					
Skills:						
Obtain and use object subordinates	tive feedback about my leadership from peers and					
Engage in introspecti	on and self-insight; knowing thyself					
Be able to see yourse	lf and your actions from the perspective of others					
Build on personal str	engths and address weaknesses					
Assess personal biase	25					
Maintain personal in	tegrity					
Activity engage in sel	f-improvement					
Keep current with ch	anges in the field					
Network with peers,	stakeholder and the community					
Abilities:						
Be insightful about th	e impact of personal strengths and weaknesses					
Analyze personal beh	aviors					
Personally change; co	ommit to grow					
Avoid being defensiv	e					
Participate in activiti	es that enhance self-awareness					
Have the courage to a	admit being wrong; errors					
Adhere to values						
Maintain humility						
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



11	Establish organizational authority, roles, and responsibilities	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Characteristics of an o	effectively functioning organization					
Local, state, and feder	ral laws and court decisions					
span of control, etc.)	pts (e.g. authority, delegation, chain of command,					
Skills:						
vision/mission	l needs and priorities based on the jail's					
· · · ·	ent a table of organization					
posts/positions	knowledge and abilities needed for the jail's					
Identify accountabilit	-					
Ű,	eers and subordinates to enhance jail operations					
Implement laws, cour	t decisions, and legal mandates					
Apply organizational	concepts to jail administration					
Mentor peers and sub responsibilities	oordinates to fulfill their organizational					
	nctions efficiently and effectively					
Develop benchmarks	to assess effective organizational strategies					
Abilities:						
peers and subordinat						
Analyze the needs of	the jail					
Understand people						
Operationalize plans						
Maintain professiona	lism					
Interpret laws and co	urt cases					
Translate theory into	practice					
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



12	Leverage the role of the jail in the criminal justice system	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
The local, state, and fed perceptions	eral justice systems, community attitudes, and public					
Local jail standards						
Effective partnerships/	collaborations to benefit the jail					
How the jail impacts, an and federal justice syste	d is impacted by, other components of the local, state ems					
Skills:						
Gather relevant informa	ation					
Analyze interrelationsh	ips of policy, law and case law developments					
Take the "pulse" of the	community					
	de interactions reciprocally affect the jail					
nurture partnerships	Educate peers and subordinates in their roles to develop, participate and nurture partnerships					
disciplines and with rel	roductive, collegial working relationships across evant community organizations					
operations	nmunity network to promote jail and justice system					
_	sensus with relevant stakeholders					
Collect and use evidence	e-based data and information					
Abilities:						
community-wide persp						
Work collaboratively; b	5 ·					
Understand the local, st	ate, and federal political environment					
Be flexible and compror						
Remain tenacious in pu	rsuing justice initiatives					
Think critically and ana	lytically					
Know when to ask for h	elp					
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



13	Make sound decisions	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Organization's vision/n accountability/perform	nission, policies, procedures and ance measures					
Laws and legal guidelin regulations	es, professional standards, case law, administrative					
Critical jail priorities						
Theories and technique	s guiding decision-making					
Skills:						
Assure decisions are tra	ansparent					
Align decisions with the	e parameters of the jail's policies and procedures					
Use decisions to reinfor complement the vision,	rce accountability provide positive examples, and /mission					
operational and admini						
delegation, unilateral, e						
	nation to make an informed decision					
Mentor peers and subo	rdinates in their roles in decision making					
Be proactive to anticipa	te reactions to, and impact of decisions					
Justice and community	potentially unpopular decisions					
Abilities:						
Appreciate the effect of organization	decisions on others, internally and externally to the					
Think logically						
Analyze complex mater	ial					
Select the appropriate of	lecision-making technique for the situation					
Hold self accountable for	or decisions, good or bad					
	potentially unpopular decisions					
Be a role model in mana	aging fall-out of decisions					
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



14	Manage Change	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Indicators that change i	may be needed					
	ders need to be involved in change initiative(s)					
Techniques for planning	g for, implementing and evaluating the change process					
Skills:						
Process information fro	om various sources to inform the need for change					
Assess current operation	ons					
_	rdinates as to their role in the change process					
	riate strategy for the situation					
Involve employees and strategy development for	stakeholders in analysis, option identification, and or change					
Develop and analyze alt	ternatives and options					
Involve community stal	keholders and funding authority in the change process					
Generate momentum for initiative(s)	or the change; get "buy-in" and promote the change					
Assure that the change	initiatives are incorporated into internal culture.					
Abilities:						
Proactively anticipate	e the need for change					
Communicate the cha	ange vision					
Demonstrate empath	y during the change process					
Build teams that reco	ognize change is part of a healthy organization					
Generate consensus						
Listen						
Be tenacious and per	severe with change initiatives					
Use feedback to make	e modifications					
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



15	Manage employee and labor relations	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Laws governing labor r collective bargaining ag	elations, administrative regulations, and the jail's preements					
Collective bargaining pr	rocess, and strategies to address workforce concerns					
Characteristics/indicate	ors of a healthy workplace					
Local political environn	nent					
Skills:						
Interpret and understand management	nd legal document, rules governing human resource					
agreements	argaining agreements, and/or other workforce					
employees' issues and o						
Collaborate to identify of						
Build supportive coaliti						
Use effective interperso issues	nal communications to identify and solve workforce					
	tegies to avoid confrontation					
Mentor peers and subo workplace	rdinates regarding their role in maintaining a healthy					
Understand the local po	litical environment					
Abilities:						
Assess the motives and	communication of others					
	s of communication during stressful times					
needs of workforce	mplications of workforce issues, employee grievances,					
Be firm, fair and consist	tent					
Remain diplomatic						
Demonstrate patience						
Keep connected to the l	ocal political environment					
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



16	Manage Power and Influence	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
The nature of power an affected the organizatio	d influence, and the legacy of how misuse of power has n					
	ith external power and influence (e.g. policy-makers, leaders, unions, and others who impact jail tions)					
How I use personal pow	ver in this job					
Skills:						
Identify your formal/in	formal sources of power					
Recognize how power c	an be used to support the jail's vision/mission					
Use power with externa	ll stakeholders and the community					
Recognize what mistake and avoid repeating the	es were made regarding the use of power in the past m					
Understand why the use and counterproductive	e of unilateral position-based power is often resented					
consumed by it)	of the current political climate (without being					
Use effective interperso	nal communications, especially non-evaluative listening					
-	rdinates in their use of power					
Understand and influen the jail.	ce various agendas of other power brokers who impact					
Abilities:						
Be comfortable with usi	ing power and influence					
Weigh alternatives for u	ising power					
Learn from past mistak	es					
Avoid over-reliance on						
Be perceptive and acces	ssible					
Listen and communicat	e in a non-confrontational manner					
Respect power without	Respect power without being intimidated by it					
Be confident and persuasive						
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



17	Manage time	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Tools and techniques	for time management					
Internal and external	priorities of the jail					
My personal relations meeting schedules an	ship with time (late, early, last minute decisions, d agenda)					
The importance of a h	balanced lifestyle and how to achieve it					
Skills:						
Acknowledge my time	e management weaknesses					
Delegate appropriate	ly, and hold others accountable					
Engage in multi-taski	ng					
Employ technology to	enhance efficiency					
Understand the prior	ities of one's boss					
Determine a timetabl priorities, set and me	e for achieving organizational goals, establish et deadlines					
Develop positive, hea	lth coping techniques					
Proactively reduce so	urces of stress in the workplace					
Understand how I tra	nsfer my stress to peers and subordinates					
Assure time for yours	elf and your family					
Abilities:						
Be comfortable deleg	ating					
Prioritize work for yo	ourself and subordinates					
Maintain time sensiti	vity					
Balance one's person for yourself	al and professional life; avoid burnout; make time					
Be aware of creating	stress for others					
Serve as a role model						
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



18	Obtain and manage human resources	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
The jail's staffing needs based on objective analysis, and relationship to the approved budget						
-	ployment/economic conditions					
to human resources (e.g						
	ployee performance, and retention strategies.					
Skills:						
Effectively collaborate v	vith the human resources functions					
and associated training						
	processes (e.g. hiring process, length of time of hiring the impact on hiring and retention					
retain staff	f employment markets on the jail's ability to recruit and					
Collect and analyze the						
Advocate for positions, staff salaries benefits, as needed, with the funding authority						
relevant regulations and						
requirements	d assess employee performance using valid job					
Align staffing with the b	udget, including use of overtime					
Hold employees accoun performing staff	Hold employees accountable, and use remedial plans for inadequately performing staff					
Abilities:						
Objectively analyze and	priorities staffing needs					
Maintain a commitment to staff training, and succession planning						
See the "big picture" in terms of the jail's position as an employer of choice in the community						
Make fair selection, retention, disciplinary, and other human resource decisions on the basis of applicable legal rules and regulations						
Match performance measures with job requirements						
Uphold ethical standards (on and off the job)						
Total number of ch	eckmarks in each column. Transfer the number to the summary page to add to your profile.					



19	Oversee inmate and facility management	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Relevant state and federal laws, court orders, case law, accreditation and health care standards, fire codes, and other policies and procedures governing facility management						
	dministrative regulations into operational practice					
Ĩ	Evidence-based practices for jails Inmate treatment and community reintegration needs, along with relevant response strategies					
Skills:						
Synthesize information	from a wide variety of sources					
Keep current with relev	ant legal and administrative regulations					
Engage in timely review	and revision of policies and procedures					
Establish accountability	measures for operational functions					
Assure that staff are edu	cated regarding legal/administrative requirements					
Mentor peers and subor	dinates in their roles in decision making					
	d treatment programs to meet inmate needs					
Acquire resources and o	levelop external partnerships to meet inmate needs					
Oversee successful inma	ate transition back to the community					
Maintain relationships	vith community stakeholders and treatment providers					
Abilities:						
Read, interpret, and ana	Read, interpret, and analyze legal documents					
Ensure organizational accountability						
Translate complex concepts into operational procedures						
Recognize the jail's role in reducing recidivism						
Research and implement effective treatment programs						
Demonstrate concern about inmate needs and transitional capabilities.						
Total number of checkmarks in each column. Transfer the number to the summary page to add to your profile.						



20	Oversee physical plant management	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Structural features of the jail facility(ies) (e.g. schematics, utility maps, life safety systems)						
Capital budgeting proce						
tornado, earthquake, flo	plans to respond to jail emergencies (e.g. hurricane, bod, etc.)					
Skills:						
Interpret blueprints, ma	-					
-	nysical plant assessment, and related budget requests					
Establish preventive ma	intenance plans					
anticipated	g when new construction and/or renovations are					
manner	Assure that necessary facility repairs are identified and corrected in a timely manner					
Assess facility capabiliti classification	Assess facility capabilities in relation to the inmate population and classification					
	Hold staff accountable for facility's condition					
Collaborate with local government partners to identify and use potential shared resources						
Conduct critical inciden identified deficiencies	t reviews; prepare action plans if necessary to correct					
Engage emergency man	agers and partners at the local, state and federal level					
	s and facility preparedness are current and trained					
Abilities:						
infrastructure	Evaluate integrity and sustainability of the jail's physical features and infrastructure					
Gather information from staff and inmates about facility conditions						
Be proactive to, if possible, prevent emergency repairs						
Establish mutual aid partnerships						
Analyze information that pose threats to jail safety and security						
Establish an organizational culture where safety is the top priority						
Total number of checkmarks in each column. Transfer the number to the summary page to add to your profile.						



21	Reduce jail-related liabilities	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Case law, relevant legislation, court orders, and state/national standards, evidence-based jail practices						
	k and the techniques to proactively limit liability.					
Skills:						
01	administrative standards apply to jail operations cies and procedures comply with mandates					
Produce clear, concise p high-liability areas	oolicies that reflect current legal standards, especially in					
0	e trends to determine what can be adopted for the jail					
Identify areas of the jail's legal vulnerabilities						
Engage employees to id	entify how liability could be reduced					
Develop a process for documenting and follow-up personnel actions related to risk reduction						
Encourage staff and inmates to communicate openly with administrators						
operational decisions	rievance process in in place and that the data informs					
•	rdinates in their role in risk assessment and correction					
	nd in a timely manner to inmate complaints					
Abilities:						
Analyze legal document	S					
Assess if employee training improves operations						
Translate legal concepts into operational practice						
Conceptualize the options to reduce risk						
Anticipate the outcome of emerging trends						
Think creatively	Think creatively					
Use proactive preparedness to reduce liability						
Total number of checkmarks in each column. Transfer the number to the summary page to add to your profile.						



22	Understand and manage emerging technology	No experience	A little experience or exposure	Intermediate - average knowledge and application	Good experience/practical application	Extensive Experience/Expert
Knowledge:						
Basic computer-related	skills					
Law pertaining to data a partners	sharing currently in use by internal and external					
	t has the potential to improve jail operations (e.g. phone ion, etc.)					
Skills:						
Use basic computer skil	ls/hardware/software/networks					
release	ons regarding technology, data sharing, information					
Collaborate with partne	ers to implement integrated data systems					
	Research the capabilities of technology, determine the costs of acquisition, resources needed for training staff, and on-going costs					
Evaluate the impact of t	echnology on staffing and other costs of jail operations					
0	nd weaknesses of products before purchase					
operations						
0, 1	ons against the jail's current and future budgets					
Develop positive relation	onships with IT staff					
Abilities:						
Analyze technology opt	ions					
Adapt jail operations to						
Establish partnerships in the public and private sector to share costs of technology						
Overcome impediments to data sharing						
Map processes to assess technology's use and impact						
Distill complex information to make decisions						
Trust others with greater subject matter expertise						
Ask critical questions						
Total number of checkmarks in each column. Transfer the number to the summary page to add to your profile.						



## **Resources and Bibliography**

# (See also the Leader's Library within each of the Core Competencies articles)

Ameen, Christine, Jennifer Loeffler-Cobia, Elyse Clawson, Meghan Guevara, *Evidence-Based Practice Skills Assessment for Criminal Justice Organizations*, Version 1.0, National Institute of Corrections, 2010

http://b.3cdn.net/crjustice/82a30c562cbe542ed d\_jzm6bpqqv.pdf

Barrett, Katherine and Richard Greene, "America's Jails Have an HR Problem", Governing (subsidiary of the Congressional Quarterly), February 2016, <u>http://www.governing.com/columns/smart-</u><u>mgmt/gov-jail-employee-training.html</u>

Batts, Anthony W., Sean Michael Smoot, and Ellen Scrivner, *Police Leadership Challenges in a Changing World*, Harvard Kennedy School, July 2012,

https://www.ncjrs.gov/pdffiles1/nij/238338.pdf

Birzer, Michael L., "Police Supervision in the 21st Century", FBI Law Enforcement Bulletin, Volume:65 Issue:6 June 1996 Pages:6-10. <u>https://leb.fbi.gov/file-repository/archives/june-1996.pdf/view</u>

Boba, Rachel, Ph.D., and Roberto Santos, A Police Organizational Model for Crime Reduction: institutionalizing Problem Solving, Analysis, and Accountability, U. S. Dept. of Justice, Community Oriented Policing Services, 2011, Revised 2015, https://ric-zai-inc.com/Publications/cops-p208pub.pdf

Born, Paul, Community Conversations Mobilizing the Ideas, Skills, and Passion of Community Organizations, Governments, Businesses and People, Second Edition, BPS Books, Toronto and New York, 2012.

Brennan, Tim, Dave Wells, and John Carr, *Running* and Intelligent Jail: A Guide to Development and use of a Jail Information System, National Institute of Corrections, August 2013 <u>http://www.northpointeinc.com/files/publication</u> <u>s/NIC-Running-an-Intelligent-Jail.pdf</u> Brookfield, Stephen D., *Developing Critical Thinkers: Challenging Adults to Explore Alternative Ways of Thinking and Acting*, Jossey-Bass Publishers, San Francisco, 1987.

Campbell, Tom, "Diagnosing Culture: Case Studies", Proceedings of the Large Jail Network, Winter 2007, U.S. Dept. of Justice, National Institute of Corrections, page 29, <u>https://s3.amazonaws.com/static.nicic.gov/Libra</u> <u>ry/022298.pdf</u>

Cebula, Nancy, Elizabeth Craig, Christopher Innes, Theresa Lantz, Tanya Rhone, Tom *Ward Culture and Change Management, Using the APEX to Facilitate Organizational Change*, U S. Department of Justice, Bureau of Prisons, National Institute of Corrections, April 2012 http://static.nicic.gov/Library/025300.pdf

Cebula, Nancy, Elizabeth Ritter, Editors, *APEX* (Achieving Performance Excellence Guidebook Series, APEX Resources Directory Vol. 1: Change Management and the APEX Domains, U. S. Department of Justice, National Institute of Corrections, September 2013, Chapter 9, https://s3.amazonaws.com/static.nicic.gov/Libra ry/025302.pdf

Clawson, Elyse and Lore Joplin, Brad Bogue, Nancy Campbell, Dot Faust, Kate Florio, George Keiser, Billy Wasson, William Woodward, *Implementing Evidence-Based Practice in Community Corrections: The Principles of Effective Intervention*, U. S. Department of Justice, National Institute of Corrections,

https://s3.amazonaws.com/static.nicic.gov/Libra ry/019342.pdf

Clem, Connie, "69 Ways to Save Millions", American Jails, November/December 2009, page 9.

http://cleminfostrategies.com/wpcontent/upload s/2009/12/FeatureArticleAJANov-Dec2009.pdf

Cushman, Robert C., Guidelines for Developing a Criminal Justice Coordinating Committee, U. S. Dept. of Justice, National Institute of Corrections, January 2002,

http://static.nicic.gov/Library/017232.pdf



Elias, Gail, *How to Collect and Analyze Data: A Manual for Sheriffs and Jail Administrators* 3<sup>rd</sup> *Edition,* National Institute of Corrections, July 2007

#### https://s3.amazonaws.com/static.nicic.gov/Libra ry/021826.pdf

Erica Olsen, Strategic Planning for Dummies, 2007, Wiley Publishing Company. Fellner, Jamie, "Callous and Cruel: Use of Force against Inmates with Mental Disabilities in US Jails and Prisons", Human Rights Watch, May 12, 2015 https://www.hrw.org/report/2015/05/12/callou s-and-cruel/use-force-against-inmates-mentaldisabilities-us-jails-and

Finnegan, Richard P. *The Stay Interview: A Manager's Guide to Keeping the Best and Brightest,* New York, American Management Association, 2015.

Fisher, R., Ury, W. & Patton, B. (2012). *Getting to yes: Negotiating an agreement without giving in.* London, UK: Random House.

Flaherty-Zonis, Carol, "Building Culture Strategically: A Team Approach for Corrections", NIC February 2007, <u>https://s3.amazonaws.com/static.nicic.gov/Libra</u> <u>ry/021749.pdf</u>

Flaherty-Zonis, Carol, "Diagnosing Organizational Culture", Proceedings of the Large Jail Network, Winter 2007, U.S. Dept. of Justice, National Institute of Corrections, page 25, <u>https://s3.amazonaws.com/static.nicic.gov/Libra</u> <u>ry/022298.pdf</u>

Garner, Randy, *Constructing Effective Criticism*, 2011, Prescient Publishing.

Gladwell, Malcolm, The Tipping Point: how Little Things Can Make a Big Difference, Little Brown and Company, 2002.

Harvey, Andrew J., "Building an Organizational Foundation for the Future", FBI Law Enforcement Bulletin, Volume 65, Number 11, November 1996, page 12 <u>https://leb.fbi.gov/file-</u> <u>repository/archives/november-1996.pdf/view</u>

Henrichson, Christian and Joshua Rinaldi, *Cost-Benefit Analysis and Justice Policy Toolkit*, Vera Institute of Justice, U. S. Dept. of Justice, Bureau of Justice Assistance, December 2014,

CENTER FOR INNOVATIVE PUBLIC POLICIES

©2019 Center for Innovative Public Policies, Inc. Version 1.0 https://www.bja.gov/Publications/Vera-cbajustice-policy-toolkit.pdf

Jacobs, James B. and Kerry T. Cooperman, "A Proposed National Corrections College, Criminal and Civil Confinement", March 2012, Vol. 38, page 56 <u>https://crimejustice.law.nyu.edu/wpcontent/uploads/ProposedNationalCorrectionsCo</u> <u>llege.pdf</u> Jones, Michael R. "Keeping Your Criminal Justice Coordinating Committee Going Strong, NIC, National Jail Exchange, 2013 <u>http://community.nicic.gov/blogs/national jail e</u> <u>xchange/archive/2013/02/12/keeping-your-</u>

<u>criminal-justice-coordinating-committee-going-</u> <u>strong.aspx</u> accessed on 8/9/15.

Kiefer, Michele, *The Corrections Learning Organization*, U.S. Department of Justice, National Institute of Corrections, 2016, <u>https://s3.amazonaws.com/static.nicic.gov/Libra</u> <u>ry/032739.pdf</u>

Kotter, John P., *Leading Change*, Harvard Business School Press, Boston, Massachusetts, 1996.

Kouzes, James and Barry Posner, *The Leadership Challenge*, Fifth Edition, San Francisco, Jossy-Bass, 20102.

Kouzes, James M. and Barry A. Posner, *A Leader's Legacy*, 2006, Jossey-Bass.

Lane, Troy, "Span of Control for Law Enforcement Agencies," Police Chief, International Association of Chiefs of Police, Volume 73, Issue 10, October 2006.

Martin, Mark D. and Claire Lee Reiss, *Managing Risk in Jails*, U. S. Department of Justice, National Institute of Corrections, April 2008, https://www.hsdl.org/?view&did=719589

Martin, Mark D. and Thomas A. Rosazza, *Resource Guide for Jail Administrators*, U. S. Department of Justice, National Institute of Corrections, December 2004, http://static.nicic.gov/Library/020030.pdf

Martin, Mark D., and Paul Katsampes, *A Sheriff's Guide to Effective Jail Operations*, U. S. Dept. of Justice, National Institute of Corrections, January 2007, <u>http://static.nicic.gov/Library/021925.pdf</u>

Martin, Mark D., Budget Guide for Jail Administrators: Beyond Budget Allocation – Sources of Funding and Services, National Institute of Corrections, September 2002 http://static.nicic.gov/Library/017627.pdf

Martin, Mark D., Budget Guide for Jail Administrators: Developing the Budget, National Institute of Corrections, September 2002 <u>http://static.nicic.gov/Library/017626.pdf</u> Martin, Mark D., Budget Guide for Jail Administrators: Managing the Budget, National Institute of Corrections, September 2002 <u>http://static.nicic.gov/Library/017628.pdf</u>

Martin, Mark D., *Jail Standards and Inspection Programs: Resource and Implementation Guide*, U. S. Department of Justice, National Institute of Corrections, April 2007,

https://www.prearesourcecenter.org/sites/defau lt/files/library/jailstandandsandinspectionprogra msresourceandimplementationguide.pdf

Martony, Eva, Larry Reid, Cornell Smith, Cecilia Reynolds, James Upchurch, Carroll Parrish, Ray Hobbs, Joan Palmateer and Annie Harvey, *The Security Audit Program: A How-To Guide and Model Instrument for Adaption to Local Standards, Policies, and Procedures*, U. S. Department of Justice, National Institute of Corrections, September 2013

http://nicic.gov/downloads/files/16p3203\_securi ty%20audit%20new%20final%20(1).pdf

McCampbell, Michael S., *The Collaboration Toolkit for Community Organizations: Effective Strategies to Partner with Law Enforcement*, U. S. Department of Justice, Office of Community Policing Services, 2010,

http://ojp.gov/fbnp/pdfs/Collaboration\_Toolkit.p df

Miller, Rod and John E. Wetzel, *Final Draft, Jail Staffing Analysis, Third Edition,* <u>http://correction.org/wp-</u> <u>content/uploads/2014/05/Final-Draft-3rd-</u> <u>Edition-Jail-Staffing-Analysis-Feb-2012.pdf</u>

Milligan, Stacy Osnick and Lorie Fridell, Implementing an Agency-Level Performance Measurement System: A Guide for Law Enforcement Executives, Police Executive Research Forum, Funded by the National Institute of Justice, April 2006

# CENTER FOR INNOVATIVE PUBLIC POLICIES

©2019 Center for Innovative Public Policies, Inc. Version 1.0

### https://www.ncjrs.gov/pdffiles1/nij/grants/2144 39.pdf

Morton, Gregory R., and Aaron Shepherd, The Six Moving Parts of Correctional Training Effectiveness, U. S. Dept. of Justice, National Institute of Corrections, April 2009, https://s3.amazonaws.com/static.nicic.gov/Libra ry/025226.pdf

Ortiz, Natalie, "County Jails at a Crossroads", National Association of Counties, July 8, 2015, <u>https://www.ncjrs.gov/pdffiles1/nij/246769.pdf</u>

Pew Center for the States, "Public Opinion on Sentencing and Correctional Policy in America", March 2012, <u>http://famm.org/wp-</u> <u>content/uploads/2013/09/PEW\_NationalSurveyR</u> <u>esearchPaper\_FINAL.pdf</u>

Powell, Colin, *It Worked for Me In Life and Leadership*, 2012, New York, New York, Harper Collins Publishers

Rinehart, Tammy A., Anna T. Laszlo, and Gwen O. Briscoe, Collaboration Toolkit: How to Build, Fix and Sustain Productive Partnerships, U. S. Department of Justice, Office of Community Policing Services, 2001,

http://www.cops.usdoj.gov/html/cd\_rom/collabo ration\_toolkit/pubs/collaborationtoolkit.pdf

Rosazza, Thomas A., *Jail Inspection Basics: Supervisors Guide*, U. S. Department of Justice, National Institute of Corrections, March 2007, <u>https://info.nicic.gov/nicrp/system/files/022123.</u> pdf

Rosazza, Thomas A., Jail Inspections Basics: An Introductory Self-Study Course for Jail Inspectors, U. S. Department of Justice, National Institute of Corrections, March 2007 https://s3.amazonaws.com/static.nicic.gov/Libra ry/022124.pdf

Sabbatine, Ray, "Risk Management in jails: how to reduce the potential of negative outcomes", American Correctional Association, 2003, https://www.thefreelibrary.com/Risk+manageme nt+in+jails%3a+how+to+reduce+the+potential+of +negative...-a0123670504 Schein, E.H. (2004). *Organizational culture and leadership.* San Francisco: Jossey-Bass Publishers.

Schwartz, Jeffrey A. and Cynthia Barry, *A Guide to Preparing for and Responding to Jail Emergencies*. U. S. Dept. of Justice, October 2009, National Institute of Corrections, October 2009 <u>https://s3.amazonaws.com/static.nicic.gov/Libra</u> <u>ry/023494.pdf</u> Sewell, James D., PhD., "The Four R's for Police Executives", FBI Law Enforcement Bulletin, Volume 65, Number 7, July 1996, page 9-13

https://leb.fbi.gov/file-repository/archives/july-1996.pdf/view

Stephens, Darrel W., Julia Hill and Sheldon Greenberg, *Strategic Communication Practices: A Toolkit for Police Executives*, U. S. Department of Justice, Office of Community Oriented Policing Services, September 2011, <u>https://ric-zaiinc.com/Publications/cops-p222-pub.pdf</u>

Stinchcomb, Jeanne B, and Susan W. McCampbell (2008), Jail Leaders Speak: Current and Future Challenges to Jail Operations and Administration: A Summary Report to the Bureau of Justice Assistance, Center for Innovative Public Policies, Inc., Naples, Florida.

http://www.cipp.org/pdf/FocusGroupReport.pdf

Stinchcomb, Jeanne B. and Susan W. McCampbell, *Resource Guide for Newly Appointed Wardens*, Second Edition, 2017, U. S. Department of Justice, National Institute of Corrections <u>http://www.cipp.org/uploads/3/7/5/7/3757825</u> <u>5/nicresourceguide2017.pdf</u>

Stinchcomb, Jeanne B., and Susan W. McCampbell, Elizabeth P. Layman, *FutureForce: A Guide to Building the 21<sup>st</sup> Century Community Corrections Workplace*, 2006, U. S. Department of Justice, National Institute of Corrections. <u>http://nicic.org/Downloads/PDF/Library/02179</u> <u>9.pdf</u>

Straus, David, *How to Make Collaboration Work Powerful Ways to Build Consensus, Solve Problems, and Make Decisions,* BK Publishers, Inc., San Francisco, 2002. Subramanian, Ram, Ruth Delaney, Stephen Roberts, Nancy Fishman, Peggy McGarry, "Incarceration's Front Door: The Misuse of Jails in America", Vera Institute of Justice, February 2015 http://www.vera.org/sites/default/files/resource s/downloads/incarcerations-front-doorreport.pdf

Tichy, Noel M., and Warren G. Bennis, *Judgment: How Winning Leaders Make Great Calls,* 2007, The Penguin Group., 2007.

U. S. Department of Justice, National Institute of Justice, *Mending Justice: Sentinel Event Reviews*, September 2014 <u>https://www.ncjrs.gov/pdffiles1/nij/247141.pdf</u>

U. S. Department of Justice, Office of Community Oriented Policing Services, *Key Leadership Strategies to Enhance Communications*, Major Cities Chiefs Association, September 2010 <u>https://www.hsdl.org/?view&did=15023</u>

U.S. Department of Justice, National Institute of Corrections, Annotated Bibliography: Evidence-Based Practices in the Criminal Justice System; Updated 2017,

https://s3.amazonaws.com/static.nicic.gov/Libra ry/026917.pdf

U.S. Department of Justice, National Institute of Justice, *Restrictive Housing in the U.S. Issues, Challenges, and Future Directions,* November 2016, <u>https://www.ncjrs.gov/pdffiles1/nij/250315.pdf</u>

U.S. Department of Justice, U. S. Department of Justice Report and Recommendations Concerning the Use of Restrictive Housing, Final Report, January 2016,

https://www.justice.gov/archives/dag/file/8155 51/download

Vera Institute of Justice, "The Price Of Prisons What Incarceration Costs Taxpayers", January 2012,

http://www.vera.org/sites/default/files/resource s/downloads/price-of-prisons-updated-version-021914.pdf



## **Footnotes:**

<sup>i</sup>http://www.cipp.org/uploads/3/7/5/7/37578255/2\_organizational\_accountability.pdf and http://www.cipp.org/uploads/3/7/5/7/37578255/11\_establish\_authority.pdf

<sup>ii</sup> For more information see The Six Moving Parts of Correctional Training Effectiveness https://s3.amazonaws.com/static.nicic.gov/Library/025226.pdf

iii http://www.cipp.org/uploads/3/7/5/7/37578255/18 human resources.pdf
iv http://www.cipp.org/uploads/3/7/5/7/37578255/3 external stakeholders.pdf

